

# Milnor $K$ -theory of $p$ -adic rings

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## Abstract

We study the mod  $p^r$  Milnor  $K$ -groups of  $p$ -adically complete and  $p$ -henselian rings, establishing in particular a Nesterenko–Suslin style description in terms of the Milnor range of syntomic cohomology. In the case of smooth schemes over complete discrete valuation rings we prove the mod  $p^r$  Gersten conjecture for Milnor  $K$ -theory locally in the Nisnevich topology. In characteristic  $p$  we show that the Bloch–Kato–Gabber theorem remains true for valuation rings, and for regular formal schemes in a pro sense.

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## 0 INTRODUCTION

In this article we study the mod  $p$ -power Milnor  $K$ -theory of  $p$ -adic rings by combining classical techniques of Bloch–Kato [6] with recent progress in  $p$ -adic motivic cohomology, notably the syntomic cohomology introduced by Bhatt, Scholze, and the second author [3].

Our fundamental results from which the others follow are the following “Gersten injectivity” and Bloch–Kato isomorphism:

**Theorem 0.1.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $R$  a local,  $p$ -henselian, ind-smooth  $V$ -algebra with infinite residue field; let  $j, r \geq 1$ . Then*

(i) *the canonical map  $K_j^M(R)/p^r \rightarrow K_j^M(R[\frac{1}{p}])/p^r$  is injective,*

(ii) *and the Galois symbol  $K_j^M(R[\frac{1}{p}])/p^r \rightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j})$  is an isomorphism.*

The hypothesis that  $R$  has infinite residue field may be weakened to it having big enough residue field so that  $\widehat{K}_j^M(R) \rightarrow K_j^M(R)$  is an isomorphism, where the domain denotes the improved Milnor  $K$ -theory of Gabber and Kerz [27]. However, with no size hypotheses on the residue field of  $R$  we prove exactness of the sequence

$$0 \longrightarrow \widehat{K}_j^M(R)/p^r \longrightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \longrightarrow W_r \Omega_{R/\pi R, \log}^{j-1} \longrightarrow 0, \quad (1)$$

where the map to the group of de Rham–Witt dlog forms of the special fibre of  $R$  is Kato’s residue map; in the case of big enough residue field this is equivalent to Theorem 0.1.

Combining Theorem 0.1 with existing Gersten results in Milnor  $K$ -theory due to Kerz [26, 27] and in motivic cohomology due to Geisser [16], we then establish the mod  $p$ -power Gersten conjecture Nisnevich locally on smooth  $V$ -schemes:

**Theorem 0.2.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic,  $X$  a smooth  $V$ -scheme, and  $R := \mathcal{O}_{X,x}^h$  the henselian local ring at any point  $x \in X$ . Then the Gersten complex*

$$0 \longrightarrow \widehat{K}_j^M(R)/p^r \longrightarrow K_j^M(\text{Frac } R)/p^r \longrightarrow \bigoplus_{x \in \text{Spec } R^{(1)}} K_{j-1}^M(k(x))/p^r \longrightarrow \bigoplus_{x \in \text{Spec } R^{(2)}} K_{j-2}^M(k(x))/p^r \longrightarrow \dots$$

is exact, and consequently the Bloch–Quillen isomorphism  $CH^j(X)/p^r \cong H_{\text{Nis}}^j(X, \widehat{\mathcal{K}}_{j,X}^M/p^r)$  holds.

A new, albeit simple, observation which plays a key role in our arguments is that Milnor  $K$ -theory is right exact when left Kan extending from smooth algebras; this is inspired by Bhatt–Lurie’s observation that connective  $K$ -theory is left Kan extended in this fashion, and by the subsequent on-going introduction of left Kan extensions from smooth algebras into the theory of motivic cohomology [12]. More precisely, given a base ring  $k$  and letting  $L^{\text{sm}}K_j^M : k\text{-algs}^{\text{loc}} \rightarrow D(\mathbb{Z})$  be the left Kan extension of  $K_j^M$  from local, essentially smooth  $k$ -algebras to all local  $k$ -algebras, then show in Proposition 1.17 that the counit map  $H_0(L^{\text{sm}}K_j^M(A)) \rightarrow K_j^M(A)$  is an isomorphism on any local  $k$ -algebra  $A$ .

This left Kan extension observation also provides a new tool to control the Milnor  $K$ -theory of  $\mathbb{F}_p$ -algebras; in particular, we prove the following Bloch–Kato–Gabber style isomorphisms, which are already known to hold [7, 25, 37] if improved Milnor  $K$ -theory is replaced by algebraic  $K$ -theory:

**Theorem 0.3.** *Let  $r, j \geq 0$ .*

- (i) *Let  $A$  be a local, Cartier smooth  $\mathbb{F}_p$ -algebra (e.g., an essentially smooth, local algebra over a characteristic  $p$  valuation finite ring). Then the dlog map  $\widehat{K}_j^M(A)/p^r \rightarrow W_r \Omega_{A, \log}^j$  is an isomorphism.*
- (ii) *Let  $A$  be an  $F$ -finite, regular, Noetherian, local  $\mathbb{F}_p$ -algebra, and  $I \subseteq A$  any ideal. Then the dlog map induces an isomorphism of pro abelian groups  $\{\widehat{K}_j^M(A/I^s)/p^r\}_s \xrightarrow{\cong} \{W_r \Omega_{A/I^s, \log}^j\}_s$ .*

To state our final main result and outline the proofs, we briefly recall the syntomic cohomology theory  $\mathbb{Z}_p(j)(A)$  introduced in [3] for quasisyntomic rings and extended to arbitrary  $p$ -complete rings  $A$  in [2]. This weight- $j$  syntomic cohomology  $\mathbb{Z}_p(j)(A)$  is a  $p$ -complete complex which is expected to provide a general theory of  $p$ -adic étale motivic cohomology for  $A$ ; consequently, by a Beilinson–Lichtenbaum principle, one expects the truncation  $\tau^{\leq j} \mathbb{Z}_p(j)(A)$  to share certain properties with Zariski motivic cohomology. As part of these expectations we prove the following relation to Milnor  $K$ -theory, thereby providing a  $p$ -adic analogue of the Nesterenko–Suslin isomorphism  $K_j^M(k) \cong H_{\text{Mot}}^j(k, \mathbb{Z}(j))$  for fields  $k$  [40].

**Theorem 0.4.** *For any local,  $p$ -complete ring  $A$ , there are natural isomorphisms*

$$\widehat{K}_j^M(A)/p^r \xrightarrow{\cong} H^j(\mathbb{Z}_p(j)(A)/p^r)$$

for all  $r, j \geq 0$ .

We finish the introduction by sketching the proofs of the main theorems, ignoring completely the difficulties caused by possible small residue fields.

Theorems 0.1 and 0.4 are proved by a convoluted reduction to a special case which we treat by hand. Let  $R$  be as in Theorem 0.1. First we use a forthcoming result of Bhatt–Clausen–Mathew identifying the

syntomic cohomology  $\mathbb{Z}_p(j)(R)$  with older approaches to  $p$ -adic étale motivic cohomology (see Theorem 1.14 for details), a consequence of which is that the kernel of Kato’s residue map in (1) identifies with  $H^j(\mathbb{Z}_p(j)(R)/p^r)$ . In other words, for such  $R$ , Theorems 0.1 and 0.4 are equivalent. Independently, rigidity results of Antieau–Mathew–Nikolaus joint with the second author [2] imply that  $\tau^{\leq j}\mathbb{Z}_p(j)(-)$  is left Kan extended from smooth algebras in a suitable sense (see Proposition 1.16 for details); combined with the right exactness property of Milnor  $K$ -theory mentioned above, this reduces Theorem 0.4 to the case in which the ring is ind-smooth over  $\mathbb{Z}_p$ . Putting together these two steps (and making a standard type of argument by adding roots of unity to reduce mod  $p^r$  assertions to the mod  $p$  case), it is finally enough to prove Theorem 0.1 when  $R$  is ind-smooth over  $\mathbb{Z}_p$  and  $r = 1$ .

The main difficulty is then to show that the composition  $K_j^M(R)/p \rightarrow K_j^M(R[\frac{1}{p}]) \rightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j})$  is injective. Using Bloch–Kato’s filtration on  $p$ -adic nearby cycles, this reduces when  $p \neq 2$  to showing that their differential map  $\Omega_{R/pR}^{j-1} \rightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j})$  factors through the aforementioned composition; we construct this factoring, which we suspect is known to experts but whose proof does not appear in the literature, using Dennis–Stein symbols. When  $p = 2$  (but still over base dvr  $\mathbb{Z}_p$ , so that  $\frac{ep}{p-1} = 2$ ) a further step of the Bloch–Kato filtration, corresponding to differential maps out of the cokernel of the Artin–Schreier maps  $C^{-1} - 1 : \Omega_{R/pR}^{j-\varepsilon} \rightarrow \Omega_{R/pR}^{j-\varepsilon}/d\Omega_{R/pR}^{j-\varepsilon-1}$  for  $\varepsilon = 1, 2$ , must be analysed. Here the manipulations of the Dennis–Stein symbols are more involved, complicated further by the fact that the restriction of the unit filtration on  $K_j^M(R[\frac{1}{p}])$  to  $K_j^M(R)$  had not been previously identified; see §2.1–2.2.

**Remark 0.5** (Unit group filtrations). Let  $V$  be a complete discrete valuation ring of mixed characteristic, with uniformiser  $\pi$  and field of fractions  $K$ ; although the observations of this remark continue to hold for suitably smooth local  $V$ -algebras, notably as in Theorem 0.1, we restrict to  $V$  itself for the sake of concreteness.

The  $i^{\text{th}}$  step  $U^i K_j^M(K)$  of the *unit group filtration* on  $K_j^M(K)$  is defined to be the subgroup generated by symbols  $\{a_1, \dots, a_j\}$  where at least one of  $a_1, \dots, a_j \in K^\times$  belongs to  $1 + \pi^i V$ . The graded pieces of this filtration have been extensively studied, by Bloch–Kato [6], Kurihara [31], Nakamura [39], and others; see [38] for a survey.

Filtering  $K_j^M(V)$  in the analogous way (i.e., using  $a_1, \dots, a_j \in V^\times$  with at least one belonging to  $1 + \pi^i V$ ) results in pathological behaviour: this filtration is not multiplicative and the canonical map  $K_j^M(V) \rightarrow K_j^M(K)$  is not injective on graded pieces. The general goal of §2.1–2.2 is to describe what we believe is the “correct” filtration on  $K_j^M(V)$  (namely the restriction of the unit group filtration on  $K_j^M(K)$ , assuming injectivity of  $K_j^M(V) \rightarrow K_j^M(K)$ ) in the special case that  $V$  is absolutely unramified: in fact, the pathological behaviour in this case only occurs when  $p = 2$ , in which case one must enlarge the second step of the filtration by including symbols  $\{1 + ap, 1 + bp\}$  where  $a, b \in V$ , or equivalently by adding certain Dennis–Stein symbols. See Definition 2.3 and Remark 2.6.

This suggests an alternative approach to our proof of the injectivity of  $K_j^M(V)/p^r \rightarrow H_{\text{ét}}^j(K, \mu_p^{\otimes j})$ . Firstly enlarge the pathological filtration on  $K_j^M(V)$ , probably by modifying it in degrees  $i$  whenever  $i$  is divisible by  $p$  and in the range  $1 \leq i \leq \frac{ep}{p-1}$  (c.f., the Bloch–Kato description of the graded pieces in these degrees [6, Corol. 1.4.1], noting that the graded step of degree  $\frac{ep}{p-1}$  might not vanish as we do not work étale locally). Secondly, reduce to the case  $r = 1$  and  $V$  containing a primitive  $p^{\text{th}}$ -root of unity. Thirdly, refine the arguments of §2.2 to show that Bloch–Kato’s differential maps describing the graded pieces of  $H_{\text{ét}}^j(K, \mu_p^{\otimes j})$  [6, Thm. 6.7] factor through the graded pieces of  $K_j^M(V)/p$ .

We finish the sketches of the main theorems. Theorem 0.2 follows from Theorem 0.1 and known Gersten results in motivic and étale cohomology, so we refer the reader directly to §4.1 for the details.

Theorem 0.3 reduces via Theorem 0.4 (which in characteristic  $p$  is a consequence of the classical Bloch–Kato–Gabber theorem and our left Kan extension arguments) to describing  $H^j(\mathbb{Z}(j)(-)/p^r)$  of valuation rings and of regular Noetherian rings modulo powers of an ideal. This in turn reduces to calculations in derived de Rham cohomology, which in the first case are due to Gabber–Ramero [15, Thm. 6.5.8(ii) & Corol. 6.5.21] and Gabber [29, App.], and in the second case may be found in work of the second author [37].

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# 1 FORMULATION OF MAIN THEOREMS FOR $p$ -HENSELIAN, IND-SMOOTH ALGEBRAS

In this section we state our main theorems concerning ind-smooth algebras over complete discrete valuation rings, and establish some relations between them as well as the key reductions to the special case which will then be proved in Section 2.

## 1.1 Main theorems

We adopt the usual definition of Milnor  $K$ -theory, even for non-local rings:

**Definition 1.1** (Milnor  $K$ -theory). Let  $R$  be a (always commutative) ring. We define the  $j^{\text{th}}$  Milnor  $K$ -group  $K_j^M(R)$  to be the quotient of  $(R^\times)^{\otimes j}$  by the Steinberg relations, i.e. the subgroup of  $(R^\times)^{\otimes j}$  generated by elements of the form  $a_1 \otimes \cdots \otimes a_j$  where  $a_l + a_k = 1$  for some  $1 \leq l < k \leq j$ . As usual, the image of  $a_1 \otimes \cdots \otimes a_j$  in  $K_j^M(R)$  is denoted by  $\{a_1, \dots, a_j\}$ .

For any ring  $R$  and natural number  $p$  invertible in  $R$ , we let

$$h_p^j : K_j^M(R)/p \longrightarrow H_{\text{ét}}^j(R, \mu_p^{\otimes j})$$

denote Tate's cohomological symbol, also known as the Galois symbol or norm residue homomorphism. For a proof of the existence of the Galois symbol we refer to [47] where it is proven for  $R$  being a field. The proof in the above generality is analogous.

**Remark 1.2** (Big residue fields and improved Milnor  $K$ -theory). Suppose in this remark that  $R$  is a local ring of arbitrary residue characteristic, and consider the restriction of the Galois symbol for  $R[\frac{1}{p}]$  to the Milnor  $K$ -theory of  $R$ , namely

$$K_j^M(R) \longrightarrow K_j^M(R[\frac{1}{p}]) \xrightarrow{h_p^j} H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}).$$

This symbol factors through Gabber–Kerz' improved Milnor  $K$ -theory  $\widehat{K}_j^M(R)$  [27] (which we recall is a quotient of  $K_j^M(R)$  by [27, Thm. 13]). Indeed, letting  $R(t)$  and  $R(t_1, t_2)$  denote the rational function rings of [27, Lem. 8] (which have infinite residue field), we have

$$\widehat{K}_j^M(R) = \ker(K_j^M(R(t)) \xrightarrow{i_{1*} - i_{2*}} K_j^M(R(t_1, t_2)))$$

by definition, and

$$H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) = \ker(H_{\text{ét}}^j(R(t)[\frac{1}{p}], \mu_p^{\otimes j}) \xrightarrow{i_{1*} - i_{2*}} H_{\text{ét}}^j(R(t_1, t_2)[\frac{1}{p}], \mu_p^{\otimes j}))$$

by [27, Prop. 9] since étale cohomology has transfers for finite étale extensions of rings; naturality of the Galois symbol now proves the claim.

Now let  $S$  be a local, finite étale  $R$ -algebra. Then there exist norm maps  $N : \widehat{K}_*^M(S) \rightarrow \widehat{K}_*^M(R)$  on improved Milnor  $K$ -theory [27, §1] (depending, for example, on a chosen presentation of  $S(t)$  as a finite

étale  $R(t)$ -algebra), and we expect that these are compatible with the transfer maps on étale cohomology in the sense that the following diagram commutes

$$\begin{array}{ccc} \widehat{K}_j^M(S) & \longrightarrow & H_{\text{ét}}^j(S[\frac{1}{p}], \mu_p^{\otimes j}) \\ N \downarrow & & \downarrow N \\ \widehat{K}_j^M(R) & \longrightarrow & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}). \end{array}$$

We will prove this compatibility in the special case of interest to us in Lemma 1.10. (Here is a possible method of proof in general, which in the case of fields can be found in [18, pg. 237]. Since the norm maps on improved Milnor  $K$ -theory are induced by those on the  $K$ -theory of  $K_j^M(-t)$  and  $K_j^M(-t_1, t_2)$ , this compatibility immediately reduces to the case that  $R$  has infinite residue field. Now the norm maps on for Milnor  $K$ -theory for local rings infinite residue field are defined in [27, Def. 5.5] using an analogue of the Milnor–Bass–Tate sequence for local rings with infinite residue field. Similarly one should be able to prove an analogue of Faddeev’s exact sequence for étale cohomology for local rings with infinite residue field to define the norm map in that setting. Then one checks the compatibility of the two sequences.)

We recall also that the canonical quotient map  $K_j^M(R) \rightarrow \widehat{K}_j^M(R)$  is an isomorphism if the residue field of  $R$  has  $> M_j$  elements [27, Prop. 10(5)], where  $M_j$  is a certain bound independent of  $R$  (and implicitly chosen to satisfy  $1 = M_1 \leq M_2 \leq M_3 \leq \dots$ ). Since  $j$  will be clear from the context, we will say in this case simply that  $R$  has “big residue field”.

The following is the first formulation of our main result; by  $p$ -henselian we mean that the ring is henselian along the ideal generated by  $p$ .

**Theorem 1.3.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $R$  a local,  $p$ -henselian, ind-smooth  $V$ -algebra; let  $j, r \geq 1$  and assume  $R$  has big residue field. Then*

(i) *the cohomological symbol*

$$h_{p^r}^j : K_j^M(R[\frac{1}{p}])/p^r \longrightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j})$$

*is an isomorphism;*

(ii) *the canonical map*

$$K_j^M(R)/p^r \longrightarrow K_j^M(R[\frac{1}{p}])/p^r$$

*is injective.*

**Remark 1.4** ( $j = 2$ ). The arguments of Dennis–Stein [11] may be used to prove Theorem 1.3(ii) in the case  $j = 2$ : take the exact sequence of [35, Corol. 4.3] (with  $t$  being a uniformiser of  $V$ ) mod  $p^r$ . This special case will actually be used in the course of our proof of the general case (see the end of the proof of Proposition 1.13).

In order to avoid the assumption that  $R$  has big residue field, we now reformulate Theorem 1.3 to avoid any reference to the Milnor  $K$ -theory of  $R[\frac{1}{p}]$ . So let  $V$  and  $R$  be as in Theorem 1.3 but drop the assumption that  $R$  has big residue field. Letting  $\mathfrak{m} \subseteq V$  be the maximal ideal (notation which will be used throughout the paper), note that  $\mathfrak{m}R$  is a prime ideal of  $R$  and the localisation  $R_{\mathfrak{m}R}$  is a discrete valuation ring (since it is a filtered colimit of dvrs, all of whose maximal ideals are generated by  $\mathfrak{m}$ ); let  $F$  be the field of fractions of its henselisation  $R_{\mathfrak{m}R}^h$ , and note that the residue field of  $F$  is  $\text{Frac}(R/\mathfrak{m}R)$ . Then  $F$  is a henselian discrete valuation field of mixed characteristic, and so the cohomological symbol  $h_{p^r}^j : K_j^M(F)/p^r \rightarrow H_{\text{ét}}^j(F, \mu_{p^r}^{\otimes j})$  is an isomorphism by Bloch–Kato [6, Thm. 5.12]. This may be used to define Kato’s residue map

$$\partial : H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \longrightarrow W_r \Omega_{R/\mathfrak{m}R, \log}^{j-1} \tag{2}$$

as usual:

**Lemma 1.5.** *With notation as in the previous paragraph, the composition*

$$H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \longrightarrow H_{\text{ét}}^j(F, \mu_{p^r}^{\otimes j}) \xrightarrow{h_{p^r}^j} K_j^M(F)/p^r \xrightarrow{\partial} K_{j-1}^M(\text{Frac}(R/\mathfrak{m}R))/p^r \xrightarrow{\text{dlog}} W_r \Omega_{\text{Frac}(R/\mathfrak{m}R), \log}^{j-1}$$

lands inside  $W_r\Omega_{R/\mathfrak{m}R,\log}^{j-1}$ , where  $\partial$  is the boundary map in Milnor  $K$ -theory for the discrete valuation field  $F$ .

*Proof.* Throughout the paper we adopt the following notation when given a  $V$ -scheme  $X$ : the inclusion of the special and generic fibres are denoted by  $\mathfrak{i} : X \times_V V/\mathfrak{m} \hookrightarrow X$  and  $\mathfrak{j} : X[\frac{1}{p}] \rightarrow X$  respectively.

By taking a filtered colimit we may reduce to the same assertion in which  $R$  is replaced by a local, essentially smooth  $V$ -algebra  $S$ , at which point the claim follows by taking global sections on  $\mathrm{Spec}(S/\mathfrak{m}S)$  of Bloch–Kato’s residue map of étale sheaves  $\partial : \mathfrak{i}^* R^j \mathfrak{j}_* \mu_{p^r}^{\otimes j} \rightarrow W_r\Omega_{\mathrm{Spec}(S/\mathfrak{m}S),\log}^{j-1}$  [6, (6.6)]. We note that Bloch–Kato’s construction of this map can also be replaced by an argument through Gersten complexes [44, Lem. 3.2.4].  $\square$

**Remark 1.6** (Symbolic generation of  $W_r\Omega_{\log}^j$ ). Let  $A$  be a local  $\mathbb{F}_p$ -algebra. We denote by  $W_r\Omega_{A,\log}^j$  the subgroup of  $W_r\Omega_A^j$  generated by  $\mathrm{dlog}[f_1] \wedge \cdots \wedge \mathrm{dlog}[f_j]$  for  $f_1, \dots, f_j \in A^\times$ , where  $[f] \in W_r(A)$  is the Teichmüller lift of any  $f \in A^\times$  and  $\mathrm{dlog}[f] := \frac{d[f]}{[f]}$ . This coincides with the more common definition, often denoted by  $\nu_r(j)(A)$ , in terms of forms which are étale locally generated by such  $\mathrm{dlog}$  forms by [37, Corol. 4.2(i)].

The symbol map  $K_j^M(A) \rightarrow W_r\Omega_{A,\log}^j$  descends to improved Milnor  $K$ -theory. Indeed, the map  $W_r\Omega_{A,\log}^j \rightarrow \prod_{\mathfrak{p} \in \mathrm{Spec} A} W_r\Omega_{A_{\mathfrak{p}}^{\mathrm{sh}},\log}^j$  is injective, where  $A_{\mathfrak{p}}^{\mathrm{sh}}$  is the strict henselisation of  $A_{\mathfrak{p}}$ , so it is enough to show that the symbol map descends for each  $A_{\mathfrak{p}}^{\mathrm{sh}}$ ; but then there is nothing to prove as  $K_j^M(A_{\mathfrak{p}}^{\mathrm{sh}}) \xrightarrow{\cong} \widehat{K}_j^M(A_{\mathfrak{p}}^{\mathrm{sh}})$ .

If  $A$  is moreover assumed to be regular Noetherian (or, more generally, ind smooth over  $\mathbb{F}_p$ ) then the symbol map  $\mathrm{dlog} : \widehat{K}_j^M(A)/p^r \rightarrow W_r\Omega_{A,\log}^j$  is an isomorphism by the Bloch–Kato–Gabber theorem [6] and the Gersten conjectures for both sides [20, 26] (see [37, Thm. 5.1] for a more detailed discussion of the proof).

The second formulation of Theorem 1.3 eliminates the hypothesis that  $R$  has big residue field:

**Theorem 1.7.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $R$  a local,  $p$ -henselian, ind-smooth  $V$ -algebra; let  $j, r \geq 1$ . Then the sequence*

$$0 \longrightarrow \widehat{K}_j^M(R)/p^r \longrightarrow H_{\acute{\mathrm{e}}\mathrm{t}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \xrightarrow{\partial} W_r\Omega_{R/\mathfrak{m}R,\log}^{j-1} \longrightarrow 0$$

is exact.

We will see in the next section that Theorem 1.3 and Theorem 1.7 are indeed equivalent if  $R$  has big residue field.

**Remark 1.8.** The assumption that  $V$  is complete in Theorems 1.3 and 1.7 is actually redundant. Indeed, all terms in the conclusions of the theorems are unaltered if we replace  $R$  by the  $p$ -henselisation of  $R \otimes_V \widehat{V}$  (c.f., the first paragraph of the proof of Theorem 3.1).

## 1.2 Reduction to the mod $p$ , absolutely unramified, big residue field case

In this section we reduce Theorems 1.3 and 1.7 to the special case of Theorem 1.3 where  $V$  has big residue field, is absolutely unramified, and  $r = 1$  (see Corollary 1.19) which will then be proved in Section 2 (see Theorem 2.17).

We begin by reducing Theorem 1.7 to the case of big residue field:

**Proposition 1.9.** *Theorem 1.7 reduces to the case that  $V$  has big residue field (i.e., its residue field has more than  $M_j$  elements).*

*Proof.* Obviously we only need to worry about the case that  $V$  has finite residue field  $k$ . By taking a filtered colimit we reduce to the case that  $R$  is the  $p$ -henselisation of a local, essentially smooth  $V$ -algebra; let  $K$  denote its residue field, which is a finitely generated, separable field extension of  $k$ . So we may realise  $K$  as a finite separable extension of a rational function field  $k(\underline{t}) := k(t_1, \dots, t_d)$ .







The following type of reduction to the mod  $p$  case is well-known, though we are forced to modify the usual argument since the Milnor  $K$ -theory of the non-local ring  $R[\frac{1}{p}]$  does not a priori admit norm maps:

**Proposition 1.13.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic; letting  $F$  denote its field of fractions, set  $F' := F(\zeta_p)$  and let  $V'$  be the ring of integers of  $F'$ . Assume that  $f(F'/F) = 1$ , i.e., that no residue field extension occurs. Let  $R$  be a local,  $p$ -henselian, ind-smooth  $V$ -algebra whose residue field has  $\geq M_J$  elements for some fixed  $J \geq 1$ , and put  $R' := R \otimes_V V'$ . Then*

“Theorem 1.3 for  $V \rightarrow R$ , for  $r = 1$ , and for  $0 \leq j \leq J$   
and  
Theorem 1.3 for  $V' \rightarrow R'$ , for  $r = 1$ , and for  $0 \leq j \leq J$ ”  
implies  
Theorem 1.3 for  $V \rightarrow R$ , for all  $r \geq 1$ , and for  $0 \leq j \leq J$ .

*Proof.* Let  $R$  be a local,  $p$ -henselian, ind-smooth  $V$ -algebra, and set  $R' := R \otimes_V V'$ . Note that  $R'$  is ind-smooth over  $V'$ , that it is  $p$ -henselian (since it is a finite  $R$ -algebra), and consequently that it is local (since  $R'/\mathfrak{m}'R' = R/\mathfrak{m}R \otimes_{V/\mathfrak{m}} V'/\mathfrak{m}' = R/\mathfrak{m}R$  is local, where we crucially use our hypothesis that  $V$  and  $V'$  have the same residue field).

Assuming Theorem 1.3 for  $r = 1$ ,  $j \geq 0$ , and both  $V \rightarrow R$  and  $V' \rightarrow R'$ , we will actually prove Theorem 1.3 for  $r \geq 1$ , for  $0 \leq j \leq J$ , and for both  $V \rightarrow R$  and  $V' \rightarrow R'$ ; this looks stronger than stated in the proposition but is actually equivalent, since the statement of the proposition can also be applied to the case  $V = V'$ . We do this by induction on  $r$ .

We begin by proving part (i) of Theorem 1.3. We will use the commutative diagram in which the rows are exact:

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\delta} & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j}) & \xrightarrow{\rho} & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) & \longrightarrow & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) \longrightarrow 0 \\ & & \cong \uparrow & & \uparrow & & \cong \uparrow \\ & & K_j^M(R[\frac{1}{p}])/p^{r-1} & \xrightarrow{p} & K_j^M(R[\frac{1}{p}])/p^r & \longrightarrow & K_j^M(R[\frac{1}{p}])/p \longrightarrow 0 \end{array}$$

The indicated vertical arrows are isomorphisms by the inductive hypothesis, the right one of which is used to obtain right exactness of the top sequence. It follows that the middle vertical arrow is surjective. Writing  $\delta'$  for the boundary map in the analogous diagram for  $R'$ , there is a commutative diagram

$$\begin{array}{ccc} H_{\text{ét}}^{j-1}(R'[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j}) & \xrightarrow{\delta'} & H_{\text{ét}}^j(R'[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j}) \\ \uparrow \cong & & \uparrow \cong \\ [\ ] \cup h_{p^{r-1}}^{j-1} & & h_{p^{r-1}}^j \\ \mu_p \otimes_{\mathbb{F}_p} K_{j-1}^M(R'[\frac{1}{p}])/p & \xrightarrow{\gamma} & K_j^M(R'[\frac{1}{p}])/p^{r-1} \end{array} \quad (3)$$

where  $\gamma$  denotes multiplication and  $[\ ] : \mu_p(V') \rightarrow H_{\text{ét}}^0(R'[\frac{1}{p}], \mu_p)$  is the canonical map, where we write  $\mu_p(V')$  for the group of  $p^{\text{th}}$  roots of unity in  $V'$ . The commutativity of this diagram is proved exactly as in the case of a field, for which we refer to [18, Lem. 7.5.10].

The vertical arrows in (3) are isomorphisms by the inductive hypothesis and the fact that the top left term can equivalently be written  $\mu_p(V') \otimes_{\mathbb{F}_p} H_{\text{ét}}^{j-1}(R'[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j-1})$ . A diagram chase now proves the inductive step in the case of  $V' \rightarrow R'$ .

Now let  $\Delta$  be the Galois group of the extension  $\text{Frac } V \subseteq \text{Frac } V'$ , and replace the commutative square (3) by its  $\Delta$  invariants; the existence of trace maps on étale cohomology and the coprimeness of  $p, |\Delta|$  imply that  $H_{\text{ét}}^j(R'[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j})^\Delta = H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j})$  (and similarly for the top left term), whence the resulting commutative square is

$$\begin{array}{ccc} H_{\text{ét}}^{j-1}(R[\frac{1}{p}], \mu_p^{\otimes j}) & \xrightarrow{\delta} & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^{r-1}}^{\otimes j}) \\ \uparrow \cong & & \uparrow \cong \\ (\mu_p \otimes_{\mathbb{F}_p} K_{j-1}^M(R[\frac{1}{p}])/p)^\Delta & \xrightarrow{\gamma} & K_j^M(R[\frac{1}{p}])/p^{r-1} \end{array} \quad (4)$$

We emphasise that we are not using the a priori existence of any trace maps on Milnor  $K$ -theory to obtain the identification  $(K_j^M(R'[\frac{1}{p}])/p^{r-1})^\Delta = K_j^M(R[\frac{1}{p}])/p^{r-1}$ , but rather the inductive hypothesis to identify both terms with étale cohomology (although these identifications in fact define the trace maps which could alternatively be used). The surjectivity of the left arrow, combined with our initial diagram and inductive hypothesis, shows at once that the middle symbol map in the initial diagram is injective, as required to complete the proof of the reduction.

Part (ii): We claim first that the map  $K_j^M(R) \rightarrow K_j^M(R')$  is injective modulo any power of  $p$ . Indeed, by a filtered colimit argument we may assume that  $R$  is in addition regular Noetherian, in which case it satisfies the conditions of Dahlhausen's version of Kerz' norm map [9, Prop. C.1]: indeed,  $R$  is factorial by Auslander–Buchsbaum, and  $V' = V[X]/\pi$  for some monic irreducible polynomial  $\pi \in V[X]$  which remains irreducible in  $R[X]$  (since otherwise  $R' = R[X]/\pi$  would not be a domain). So there is a norm map  $\widehat{K}_j^M(R') \xrightarrow{N} \widehat{K}_j^M(R)$  such that the composition  $K_j^M(R) = \widehat{K}_j^M(R) \rightarrow \widehat{K}_j^M(R') \xrightarrow{N} \widehat{K}_j^M(R) = K_j^M(R)$  is multiplication by an integer coprime to  $p$ . This proves the claimed injectivity, thereby reducing the desired injectivity of  $K_j^M(R)/p \rightarrow K_j^M(R[\frac{1}{p}])/p^r$  to that of  $K_j^M(R')/p \rightarrow K_j^M(R'[\frac{1}{p}])/p^r$ , which we will check by induction on  $r$ .

To do this we will use the commutative diagram

$$\begin{array}{ccccccc} \mu_p \otimes_{\mathbb{F}_p} K_{j-1}^M(R'[\frac{1}{p}])/p & \xrightarrow{\gamma} & K_j^M(R'[\frac{1}{p}])/p^{r-1} & \xrightarrow{p} & K_j^M(R'[\frac{1}{p}])/p^r & \longrightarrow & K_j^M(R'[\frac{1}{p}])/p \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ & & K_j^M(R')/p^{r-1} & \xrightarrow{p} & K_j^M(R')/p^r & \longrightarrow & K_j^M(R')/p \longrightarrow 0 \end{array}$$

in which the top row is exact by the proof of part (i). The indicated arrows are injective by the inductive hypotheses. Suppose that  $\alpha \in K_j^M(R')/p^r$  is an element which vanishes in  $K_j^M(R'[\frac{1}{p}])/p^r$ . A diagram chase immediately shows the following (bearing in mind that any element of  $K_{j-1}^M(R'[\frac{1}{p}])$  may be written as  $\beta + \{\pi\}\beta'$  for some  $\beta \in K_{j-1}^M(R')$ ,  $\beta' \in K_{j-2}^M(R')$ ): the element  $\alpha$  may be written as  $p\alpha'$ , where  $\alpha' \in K_j^M(R')/p^{r-1}$  has image  $\{\zeta_p\}\beta + \{\zeta_p, \pi\}\beta'$  in  $K_j^M(R'[\frac{1}{p}])/p^{r-1}$ . But  $\zeta_p = 1 + \pi a$  for some  $a \in V'$ , so the second term may be rewritten  $\langle a, \pi \rangle \beta'$  and the injectivity of the left vertical arrow shows that  $\alpha' = \{\zeta_p\}\beta + \langle a, \pi \rangle \beta'$ . Multiplication by  $p$ , i.e., the lower left horizontal map, now maps  $\alpha'$  to  $\alpha = p(\{\zeta_p\}\beta + \langle a, \pi \rangle \beta') = p\langle a, \pi \rangle \beta'$ . But this term is zero since  $p\langle a, \pi \rangle$  is zero in  $K_2^M(R')/p^r$ : indeed certainly  $p\langle a, \pi \rangle = p\{\zeta_p, \pi\}$  vanishes in  $K_2^M(R'[\frac{1}{p}])$ , and  $K_2^M(R')/p^r \rightarrow K_2^M(R'[\frac{1}{p}])/p^r$  is injective by Remark 1.4.  $\square$

It remains to reduce further to the case that  $V$  is absolutely unramified. This is achieved via a left Kan extension argument using motivic cohomology. For any  $p$ -adically complete ring  $A$ , or more generally derived  $p$ -complete simplicial ring, we denote by  $\mathbb{Z}_p(j)(A)$  its weight- $j$  étale-syntomic cohomology in the sense of [3]; more precisely, this was defined in [3] for quasisyntomic rings, then extended via left Kan extension to derived  $p$ -complete simplicial rings in [2, §5]; we refer to op. cit. for further details; in particular, each  $\mathbb{Z}_p(j)(A)$  is a  $p$ -complete complex supported in cohomological degree  $\leq j + 1$ . To avoid constantly needing to include additional completions, it will be convenient to write

$$\mathbb{Z}_p(j)(A) := \mathbb{Z}_p(j)(\mathrm{Rlim}_s A \otimes_{\mathbb{Z}} \mathbb{Z}/p^s \mathbb{Z})$$

for any  $p$ -henselian ring, where  $\mathrm{Rlim}_s A \otimes_{\mathbb{Z}} \mathbb{Z}/p^s \mathbb{Z}$  is the derived  $p$ -adic completion of  $A$ ; if  $A$  has bounded  $p$ -power torsion, in particular if  $A$  is Noetherian, this coincides with the usual  $p$ -adic completion  $\widehat{A}$ .

For smooth algebras over complete discrete valuation rings, forthcoming work of Bhatt–Clausen–Mathew concerning the motivic filtration on Selmer  $K$ -theory will include the following identification of  $\mathbb{Z}_p(j)$  with the original approach to  $p$ -adic étale motivic cohomology studied by Geisser, Sato, and Schneider [16, 44, 45]:

**Theorem 1.14** (Bhatt–Clausen–Mathew). *Let  $V$  be a mixed characteristic complete discrete valuation ring and  $R$  a  $p$ -henselian, ind-smooth  $V$ -algebra. Then there are natural equivalences*

$$\mathbb{Z}_p(j)(R)/p^r \simeq \mathrm{hofib}(R\Gamma_{\mathrm{ét}}(R/\mathfrak{m}R, \mathbf{1}^* \tau^{\leq j} Rj_* \mu_p^{\otimes j}) \longrightarrow R\Gamma_{\mathrm{ét}}(R/\mathfrak{m}R, W_r \Omega_{\log}^{j-1})[-j])$$

for all  $r, j \geq 1$ , where the arrow is Bloch–Kato's residue map as in the proof of Lemma 1.5.

**Corollary 1.15.** *Let  $V$  be a mixed characteristic complete discrete valuation ring and  $R$  a  $p$ -henselian, ind-smooth  $V$ -algebra. Then there are natural isomorphisms*

$$H^j(\mathbb{Z}_p(j)(R)/p^r) \cong \ker(H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \xrightarrow{\partial} W_r \Omega_{R/\mathfrak{m}R, \log}^{j-1})$$

for all  $r, j \geq 1$ .

*Proof.* This follows from Theorem 1.14 and Gabber's affine analogue of the proper base change theorem implying that  $R\Gamma_{\text{ét}}(R/\mathfrak{m}R, 1^* \tau^{\leq j} Rj_* \mu_{p^r}^{\otimes j}) \simeq R\Gamma_{\text{ét}}(R, \tau^{\leq j} Rj_* \mu_{p^r}^{\otimes j})$ .  $\square$

The second property of the  $\mathbb{Z}_p(j)(-)$  which we need to recall is that they are suitably left Kan extended:

**Proposition 1.16.** *For each  $j, r \geq 1$ , the functor  $\{p\text{-henselian rings}\} \rightarrow D(\mathbb{Z}/p^r\mathbb{Z}), A \mapsto \tau^{\leq j}(\mathbb{Z}_p(j)(A)/p^r)$  is left Kan extended from  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebras.*

*Proof.* Dropping the truncation initially, the functor  $\mathbb{Z}_p(j)(-)/p^r$  itself is left Kan extended from  $p$ -henselisations of finitely generated  $\mathbb{Z}_{(p)}$ -polynomial algebras (hence a fortiori from  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebras) thanks to the analogous result in the  $p$ -complete case [2, Thm. 5.1(2)].

It therefore remains to check that  $\tau^{>j}(\mathbb{Z}_p(j)(-)/p^r)$  is left Kan extended from  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebras. For any fixed  $p$ -henselian  $A$ , it is equivalent by cofinality to show that the canonical map  $\text{hocolim}_{R \rightarrow A} \tau^{>j}(\mathbb{Z}_p(j)(R)/p^r) \rightarrow \tau^{>j}(\mathbb{Z}_p(j)(A)/p^r)$  is an equivalence, where the colimit is taken over  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebras  $R$  equipped with morphism  $R \rightarrow A$  which is a henselian surjection. But this follows by noting the much stronger fact that each map  $\tau^{>j}(\mathbb{Z}_p(j)(R)/p^r) \rightarrow \tau^{>j}(\mathbb{Z}_p(j)(A)/p^r)$  is an equivalence: indeed, by taking a filtered colimit it is enough to check that  $\tau^{>j}(\mathbb{Z}_p(j)(R)/p^r) \xrightarrow{\sim} \tau^{>j}(\mathbb{Z}_p(j)(A)/p^r)$  whenever  $R \rightarrow A$  is a henselian surjection of  $p$ -henselian rings with bounded  $p$ -power torsion; but then  $\widehat{R} \rightarrow \widehat{A}$  is also a henselian surjection (we leave this simple verification to the reader) and indeed  $\tau^{>j}(\mathbb{Z}_p(j)(\widehat{R})/p^r) \xrightarrow{\sim} \tau^{>j}(\mathbb{Z}_p(j)(\widehat{A})/p^r)$  by the rigidity property of  $\mathbb{Z}_p(j)$  [2, Thm. 5.2].  $\square$

We will combine this with the following left Kan extension property of Milnor  $K$ -theory; we refer the reader to [12, App. A] for further information about left Kan extending from smooth algebras.

**Proposition 1.17.** *Let  $k$  be a commutative ring and  $\ell \geq 0$  an integer; let  $L^{\text{sm}}(K_j^M/\ell) : \text{Alg}_k^{\text{loc}} \rightarrow D^{\leq 0}(\mathbb{Z})$  be the left Kan extension of  $K_j^M(-)/\ell$  from local, ind-smooth  $k$ -algebras. Then, for any local  $k$ -algebra  $A$ , the co-unit map  $H_0(L^{\text{sm}}(K_j^M/\ell)(A)) \rightarrow K_j^M(A)/\ell$  is an isomorphism; i.e., Milnor  $K$ -theory is "right exact".*

*Proof.* We use a standard type of argument going back to Quillen, showing that functors with suitable universal properties are right exact. To simplify the notation we write  $k_j^M = K_j^M/\ell$ . We will exploit the fact that the left Kan extension is lax symmetric monoidal, so that  $\bigoplus_{j \geq 0} H_0(L^{\text{sm}}k_j^M(A))$  naturally has the structure of a graded commutative ring.

We first handle the case  $j = 1$ . In that case more is known: the functor  $K_1^M = \mathbb{G}_m$  is left Kan extended from local, ind-smooth  $k$ -algebras by [12, Prop. A.0.1], so taking  $H_0$  is not even necessary if  $\ell = 0$ . When  $\ell > 0$  the right exact sequence of functors  $K_1^M \xrightarrow{\ell} K_1^M \rightarrow k_1^M \rightarrow 0$  on  $\text{Alg}_k^{\text{loc}}$  induces a right exact sequence of abelian groups  $L^{\text{sm}}K_1^M(A) \xrightarrow{\ell} L^{\text{sm}}K_1^M(A) \rightarrow L^{\text{sm}}k_1^M(A) \rightarrow 0$ ; from the previous sentence we deduce that  $H_0(L^{\text{sm}}k_1^M(A)) = A^\times/\ell$ , as required.

Let  $R_\bullet \rightarrow A$  be a simplicial resolution by ind-smooth, local  $k$ -algebras where, for each simplicial degree  $q \geq 0$ , the kernel of the surjection  $R_q \rightarrow A$  is a henselian ideal. Such a resolution exists and calculates our desired left Kan extension; namely, given any functor  $F : \text{Sm}_k^{\text{loc}} \rightarrow D(\mathbb{Z})$  commuting with filtered colimits, the value of its left Kan extension  $L^{\text{sm}}F$  on the arbitrary local  $k$ -algebra  $A$  is given by the geometric realisation of the simplicial object  $q \mapsto F(R_q)$ . In particular,  $L^{\text{sm}}k_j^M(A) \in D(\mathbb{Z})$  can be represented by (the complex associated to) the simplicial abelian group  $k_j^M(R_\bullet) : q \mapsto k_j^M(R_q)$ .

We will argue using the following commutative diagram of graded commutative rings

$$\begin{array}{ccc} \bigoplus_{j \geq 0} k_j^M(R_0) & & \\ \downarrow & \searrow & \\ \bigoplus_{j \geq 0} H_0(L^{\text{sm}}k_j^M(R_\bullet)) & \longrightarrow & \bigoplus_{j \geq 0} k_j^M(A) \end{array}$$

in which all arrows are surjective (the vertical as  $H_0(k_j^M(R_\bullet))$  is by definition the equaliser of  $k_j^M(R_1) \rightrightarrows k_j^M(R_0)$ ; the diagonal as  $R_0 \rightarrow A$  is surjective on units). The goal is to show that the horizontal arrow is an isomorphism.

The horizontal arrow is an isomorphism in degrees 0 (as  $k_0^M$  is the constant functor  $\mathbb{Z}/\ell\mathbb{Z}$ ) and 1 (explained above). Therefore  $\bigoplus_{j \geq 0} H_0(L^{\text{sm}} k_j^M(R_\bullet))$  is the quotient of  $\bigoplus_{j \geq 0} k_j^M(R_0)$  by a graded ideal which is contained in  $I := \ker(\bigoplus_{j \geq 0} k_j^M(R_0) \rightarrow \bigoplus_{j \geq 0} k_j^M(A))$  (by the existence of the commutative diagram) and contains the ideal  $J$  generated by the degree one elements  $\ker(R_0^\times \rightarrow A^\times)$  (since the horizontal map is an isomorphism in degree 1). But a standard argument in Milnor  $K$ -theory shows that  $I = J$ , so in fact the three ideals coincide and the bottom horizontal map is an isomorphism.  $\square$

We may now state and prove the final reduction:

**Proposition 1.18.** *Fix  $j, r \geq 1$ , and let  $V' \subseteq V$  be an extension of mixed characteristic complete discrete valuation rings, both having big residue field. Then*

*Theorem 1.7 for all local,  $p$ -henselian, ind-smooth  $V'$ -algebras  
implies*

*Theorem 1.7 for all local,  $p$ -henselian, ind-smooth  $V$ -algebras.*

*Proof.* Given a local,  $p$ -henselian, ind-smooth  $V$ -algebra, the description of Corollary 1.15 shows that Theorem 1.7 is exactly the assertion that the symbol map defines a natural isomorphism

$$K_j^M(R)/p^r \cong H^j(\mathbb{Z}/p^r\mathbb{Z}(j)(R)) = \ker(H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \rightarrow W_r \Omega_{R/\pi R, \log}^{j-1}). \quad (5)$$

Assuming that this is true for all local,  $p$ -henselian, ind-smooth  $V'$ -algebras then it follows by left Kan extending from these, using Propositions 1.16 and 1.17, that there are natural isomorphisms

$$K_j^M(A)/p^r \xrightarrow{\cong} H^j(\mathbb{Z}/p^r\mathbb{Z}(j)(A)) \quad (6)$$

for all local,  $p$ -henselian  $V'$ -algebras  $A$ .

But when  $A = R$  is a local,  $p$ -henselian, ind-smooth  $V$ -algebra then the right side is the kernel of Kato's residue map by another application of Theorem 1.14. That is, assuming that (5) is an isomorphism for all local,  $p$ -henselian, ind-smooth  $V'$ -algebras, we have proved it is an isomorphism for all local,  $p$ -henselian, ind-smooth  $V$ -algebras, as required.  $\square$

To summarise the reductions:

**Corollary 1.19.** *Theorems 1.3 and 1.7 in general reduce to the special case of Theorem 1.3 where  $V$  has big residue field, is absolutely unramified, and  $r = 1$ .*

*Proof.* We suppose Theorem 1.3 is known whenever  $V$  has big residue field, is absolutely unramified, and  $r = 1$ . It follows from Proposition 1.12 that Theorem 1.7 is true under the same conditions (obviously if  $V$  has big residue field then so does  $R$ ). For general  $V$  with big residue field there exists an absolutely unramified complete discrete valuation ring  $V' \subseteq V$  with the same residue field (it is the ring of Witt vector if the residue field is perfect; in the imperfect case it still exists but is not unique [13, Chap. 2 §5.5–5.6]); from Proposition 1.18 we can then deduce that Theorem 1.7 is true whenever  $V$  has big residue field and  $r = 1$ . So Theorem 1.3 is true under the same conditions, again by Proposition 1.12; for any  $V$  with big residue field satisfying the hypothesis of Proposition 1.13, that proposition then lets us extend Theorem 1.3 to all  $r \geq 1$ . But this hypothesis holds in particular if  $V$  is absolutely unramified; so appealing yet again to Proposition 1.12, we have shown that Theorem 1.7 is true whenever  $V$  is absolutely unramified and has big residue field.

By again using Proposition 1.18 as in the previous paragraph we eliminate the hypothesis that  $V$  is absolutely unramified, and then by Proposition 1.9 we obtain Theorem 1.7 in general. A final application of Proposition 1.12 implies Theorem 1.3 in general.  $\square$

**Remark 1.20** (Replacing ind-smoothness by quasismoothness). We can actually offer the following weakening of the ind-smooth hypotheses in Theorem 1.3(ii). Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $A$  a local,  $p$ -henselian  $V$ -algebra with big residue field satisfying the following

“quasismoothness” conditions:  $\pi A$  is a prime ideal,  $A_{\pi A}$  is a discrete valuation ring,  $A[\frac{1}{\pi}] \cap A_{\pi A} = A$ , and the  $\mathbb{F}_p$ -algebra  $A/\pi A$  is Cartier smooth (see §5.1). Then  $K_j^M(A)/p^r \rightarrow K_j^M(A[\frac{1}{p}])/p^r$  is injective with cokernel  $K_{j-1}^M(A/\pi A)/p^r \cong W_r \Omega_{A/\pi A, \log}^j$ .

We prove this as follows. Theorem 1.3(ii) and Lemma 1.11 provide an exact sequence

$$0 \rightarrow K_j^M(-)/p^r \rightarrow K_j^M(-[\frac{1}{p}])/p^r \rightarrow K_{j-1}^M(-/\pi-)/p^r \rightarrow 0$$

of functors on the category of local,  $p$ -henselian, ind-smooth  $V$ -algebras; we may left Kan extend this to all local  $p$ -henselian  $V$ -algebras and evaluate on  $A$  to obtain a fibre sequence

$$L^{\text{sm}}(K_j^M/p^r)(A) \rightarrow L^{\text{sm}}(K_j^M(-[\frac{1}{p}])/p^r)(A) \rightarrow L^{\text{sm}}(K_{j-1}^M(-/\pi-)/p^r)(A).$$

The  $H_0$  of the outer two terms are respectively  $K_j^M(A)/p^r$  and  $K_j^M(A/\pi A)/p^r$  by Lemma 1.17. Meanwhile, a modification of the argument of that lemma, crucially using that  $A[\frac{1}{p}]^\times = A^\times \pi^{\mathbb{Z}}$  (and similarly for any ind-smooth  $V$ -algebra  $R$  in place of  $A$ ), shows that  $H_0$  of the middle term is  $K_j^M(A[\frac{1}{p}])/p^r$ , i.e.,  $K_j^M(-[\frac{1}{p}])/p^r$  is “right exact at  $A$ ”. Finally, Proposition 5.1(i) shows that the rightmost term identifies with  $W_r \Omega_{A, \log}^{j-1}$ , and in particular it has no  $H_1$ . The  $H_0$ s of the fibre sequence therefore provide the desired short exact sequence.

Under the hypotheses on  $A$ , it is conceivable that the cohomological symbol  $K_j^M(A[\frac{1}{p}])/p^r \rightarrow H_{\text{ét}}^j(A[\frac{1}{p}], \mu_{p^r}^{\otimes j})$  is also an isomorphism, but we have not seriously tried to prove it.

## 2 THE UNRAMIFIED, MOD $p$ CASE

In this section we prove Theorem 1.3 in the special case that  $V$  has big residue field, is absolutely unramified, and  $r = 1$  (see Theorem 2.17). Thanks to Corollary 1.19, this will complete the proof of Theorems 1.3 and 1.7.

### 2.1 Relations in $K_2(R)$

Given a ring  $R$ , and elements  $a, b \in R$  such that  $1 + ab \in R^\times$ , let  $\langle a, b \rangle \in K_2(R)$  denote the corresponding Dennis–Stein symbol defined in [10]. These symbols have the following properties:

$$(D1) \quad \langle a, b \rangle = -\langle -b, -a \rangle \text{ for } a, b \in R \text{ such that } 1 + ab \in R^\times.$$

$$(D2) \quad \langle a, b \rangle + \langle a, c \rangle = \langle a, b + c + abc \rangle \text{ for } a, b, c \in R \text{ such that } 1 + ab, 1 + ac \in R^\times.$$

$$(D3) \quad \langle a, bc \rangle = \langle ab, c \rangle + \langle ac, b \rangle \text{ for } a, b, c \in R \text{ such that } 1 + abc \in R^\times.$$

We recall also the following relations to Steinberg symbols:

$$(D4) \quad \langle a, b \rangle = \{1 + ab, b\} \text{ for } a, b \in R \text{ such that } b, 1 + ab \in R^\times.$$

$$(D5) \quad \langle a, b \rangle = \left\{ -\frac{1+a}{1-b}, \frac{1+ab}{1-b} \right\} \text{ for } a, b \in R \text{ such that } 1 + a, 1 - b, 1 + ab \in R^\times.$$

For a deduction of (D5) from the relations (D1)–(D3), we refer to [35, Rem. 3.14]. Note that we use the older sign convention of [10] for these symbols; this changed around 1980 and our  $\langle a, b \rangle$  became  $\langle -a, b \rangle$  (see [51, after III, Def. 5.11]).

**Definition 2.1.** Let  $k \geq 1$  be an integer. A ring  $R$  is said to be  $k$ -fold stable if and only if whenever  $a_1, b_1, \dots, a_k, b_k \in R$  are given such that  $(a_1, b_i) = \dots = (a_k, b_k) = R$ , then there exists  $r \in R$  such that  $a_1 + rb_1, \dots, a_k + rb_k$  are units.

Following [35], we will say that  $R$  is weakly  $k$ -fold stable if and only if whenever  $a_1, b_1, \dots, a_k, b_{k-1} \in R$  are given, then there exists  $u \in R$  such that  $1 + ub_1, \dots, 1 + ub_{k-1}$  are units. Note that weak  $k$ -fold stability follows from  $k$ -fold stability (using the pairs  $(1, b_1), \dots, (1, b_{k-1}), (0, 1)$ ).

**Remark 2.2** (Reminder on presentations of  $K_2$ ). (i) If  $R$  is local or three-fold stable then  $K_2(R)$  is generated by the Dennis–Stein symbols subject to relations (D1)–(D3) [50, Thm. 1].

- (ii) If  $R$  is local and has residue field  $\neq \mathbb{F}_2$ , then  $K_2(R)$  is generated by the Steinberg symbols subject to bilinearity, Steinberg relation, and the alternating relation  $\{x, y\} = -\{y, x\}$  [30, Corol. 3.2].
- (iii) If  $R$  is weakly five-fold stable, then bilinearity and the Steinberg relation imply the skew symmetry relation  $\{x, -x\} = 0$  (e.g., [35, Lem. 3.6], which itself implies the alternating relation; so from (ii) we deduce that if  $R$  is local with residue field having  $> 5$  elements, then  $K_2^M(R) \xrightarrow{\sim} K_2(R)$  (even better, this isomorphism actually holds for any five-fold stable ring by combining (i) with [49, Thm. 8.4]).
- (iv) Since (D4) shows that any Steinberg symbol can be written as a Dennis–Stein symbol, we see from (i) that if  $R$  is local or three-fold stable then  $K_2(R)$  is generated by Steinberg symbols.

For the rest of this subsection we fix a ring  $R$  which is additively generated by its units and whose Jacobson radical contains the prime number  $p$ .

**Definition 2.3.** For  $i \geq 0$ , let  $U^i K_2(R) \subseteq K_2(R)$  denote the subgroup generated by Steinberg symbols  $\{a, b\}$  where  $a$  or  $b$  belongs to  $1 + p^i R$ . To give uniform statements, we also set

$$V^i K_2(R) := \begin{cases} U^2 K_2(R) + \langle \{pc, p\} : c \in R \rangle & i = 2 = p \\ U^i K_2(R) & \text{else.} \end{cases}$$

It follows from part (ii) of the next lemma that  $V^2 K_2(R) \subseteq U^1 K_2(R)$ , so this really is a descending filtration.

**Lemma 2.4.** *Let  $i \geq 1$ , and fix an element  $\pi \in p^i R$ . Then:*

(i)  $\langle \pi, a \rangle + \langle \pi, b \rangle \equiv \langle \pi, a + b \rangle \pmod{U^i K_2(R)}$  for all  $a, b \in R$ ;

(ii) If  $R$  is additively generated by units then  $\langle \pi, b \rangle \in U^i K_2(R)$  for all  $b \in R$ .

*Proof.* (i): Set  $c = ab/(1 + \pi(a + b))$ , so that  $(1 + \pi a)(1 + \pi b) = (1 + \pi(a + b))(1 + \pi^2 c)$  and  $a + b + \pi ab = (a + b) + \pi c + \pi(a + b)\pi c$ . Then

$$\langle \pi, a \rangle + \langle \pi, b \rangle \stackrel{(D2)}{=} \langle \pi, a + b + \pi ab \rangle = \langle \pi, (a + b) + \pi c + \pi(a + b)\pi c \rangle.$$

Applying (D2) again lets us rewrite the right side  $\langle \pi, a + b \rangle + \langle \pi, \pi c \rangle$ . The second symbol lies in  $U^i K_2(R)$  by (D5).

(ii) By part (i) and the fact that  $R$  is additively generated by units, we reduce to the case that  $b$  is a unit; but then the claim is clear from (D4).  $\square$

**Lemma 2.5.** *Let  $i \geq 1$ . Then*

(i)  $\{1 + ap^i, 1 + bp\} \in V^{i+1} K_2(R)$  for all  $a, b \in R$ ;

(ii)  $\{1 + ap^i, -1\} \in V^{i+1} K_2(R)$  for all  $a \in R$ .

(iii)  $\langle p^{i-1}a, p \rangle, \langle p^{i-1}, pa \rangle \in V^i K_2(R)$  for all  $a \in R$ .

*Proof.* (i): All congruences below are with respect to  $U^{i+1} K_2(R)$ . One has

$$\{1 + p^i a, 1 + pb\} \equiv \{1 + p^i a(1 + pb), 1 + pb\} \stackrel{(D5)}{=} \langle p^i a, 1 + pb \rangle. \quad (7)$$

Next, a trivial induction using (D2) shows that, for any element  $x \in R$  such that  $1 + x \in R^\times$ , and  $n \geq 1$ , we have  $n \langle x, 1 \rangle = \langle x, f(x) \rangle$  for some polynomial  $f$  with integer coefficients such that  $f(0) = n$ ; note that this symbol equals 0 since  $\langle x, 1 \rangle = \{1 + x, 1\}$ . In particular  $0 = (p-1) \langle p^i a, 1 \rangle = \langle p^i a, p-1+p^i c \rangle$  for some  $c \in R$ , which we add to the right side of (7), using (D2), to obtain  $\langle p^i a, p-1+p^i c+1+pb+p^i a(p-1+p^i c)(1+pb) \rangle = \langle p^i a, pd \rangle$  with  $d = 1 + b + p^{i-1}(c + a(p-1+p^i c))$ . But this equals  $\langle p^{i+1}a, d \rangle + \langle p^i ad, p \rangle$  by (D3), where the first term lies in  $U^{i+1} K_2(R)$  by Lemma 2.4(ii); meanwhile the second term is

$$\langle p^i ad, p \rangle \stackrel{(D5)}{=} \left\langle -\frac{1 + p^i ad}{1 - p}, \frac{1 + p^{i+1} ad}{1 - p} \right\rangle \equiv -\{1 + p^i ad, 1 - p\}. \quad (8)$$

Assuming  $i \geq 2$ , then the right side is  $\equiv \{1 + p^i a(1 + b), 1 - p\}$  thanks to the value of  $d$ , and so in conclusion we have shown that

$$\{1 + p^i a, 1 + pb\} \equiv -\{1 + p^i a(1 + b), 1 - p\}$$

for all  $a, b \in R$ ; but replacing the pair  $a, b$  by the pair  $a(1 + b), 0$  does not change the right side, while the left side becomes 0, so in fact both sides are always 0.

It remains to treat two special cases. The first is when  $p$  is odd and  $i = 1$ . Returning to line (8), it is enough to show that  $\langle pe, p \rangle \in U^2 K_2(R)$  for all  $e \in R$ . But

$$2\langle pe, p \rangle \stackrel{(D3)}{=} \langle e, p^2 \rangle \stackrel{(D1)}{=} -\langle -p^2, -e \rangle \stackrel{(D5)}{\in} U^2 K_2(R),$$

and this is enough since  $\text{gr}^1 K_2(R)$  is killed by  $p$  and so has no 2-torsion.

The second special case is when  $p = 2$  and  $i = 1$ . But we showed above that  $\{1 + p^i a, 1 + pb\} \equiv \langle p^i ad, p \rangle$ , which lies in  $V^2 K_2(M)$  by definition.

(ii): When  $p$  is odd this again follows from the observation that  $\text{gr}^i K_2(R)$  has no 2-torsion. When  $p = 2$  it follows from part (i) by noting that  $\{1 + ap^i, -1\} = \{1 + ap^i, 1 + (-1)2\}$ .

(iii): When  $i \geq 2$  we have

$$\langle p^{i-1} a, p \rangle \stackrel{(D5)}{=} \left\langle -\frac{1 + p^{i-1} a}{1 - p}, \frac{1 + p^i a}{1 - p} \right\rangle \equiv -\{1 + p^{i-1} a, 1 - p\} \text{ mod } U^i K_2(R),$$

which belongs to  $V^i K_2^M(R)$  by part (i). Meanwhile, when  $i = 1$ , the claim is special case of Lemma 2.4(ii) (using (D1) to swap the order of the symbol).  $\square$

**Remark 2.6.** As far as we are aware, Lemma 2.5(i) is not true in the case  $p = 2 = i + 1$  if we replace  $V^2 K_2(R)$  by  $U^2 K_2(R)$ . In fact, it follows from (D5) that  $V^2 K_2(R)$  could equivalently be defined as  $U^2 K_2(R) + \langle \{1 + ap, 1 + bp\} : a, b \in R \rangle$ . Indeed, we showed in the proof of Lemma 2.5(i) that  $\{1 + p^i a, 1 + pb\} \equiv \langle p^i ad, p \rangle \text{ mod } U^{i+1} K_2(R)$  for some  $d \in R$ . The  $V$ -filtration is thus the minimal modification of the  $U$ -filtration which is multiplicative; see also Lemma 2.11.

**Corollary 2.7.** *Let  $i \geq 1$ , and fix an element  $\pi \in p^i R$ . Then  $\langle \pi, a \rangle + \langle \pi, b \rangle \equiv \langle \pi, a + b \rangle \text{ mod } V^{i+1} K_2(R)$  for all  $a, b \in R$ .*

*Proof.* Repeating the proof of Lemma 2.4(i), we must show that  $\langle \pi, \pi c \rangle \in V^{i+1} K_2(R)$  for all  $c \in R$ . Writing  $\pi = p^i b$  for some  $b \in R$ , we have  $\langle \pi, \pi c \rangle = \langle p^i b, p^i bc \rangle \stackrel{(D3)}{=} \langle p^{2i} b, p^i bc \rangle + \langle p^i b^2 c, p \rangle$ ; the first term lies in  $U^{2i} K_2(R) \subseteq V^{i+1} K_2(R)$  by Lemma 2.4(ii), while the second term lies in  $V^{i+1} K_2(R)$  by Lemma 2.5(iii).  $\square$

**Lemma 2.8.** *Assume in addition that  $R$  is  $p$ -henselian. If  $p$  is odd then  $V^2 K_2(R) \subseteq p K_2(R)$ . If  $p > 2$  then  $V^3 K_2(R) \subseteq p K_2(R)$ ; if  $p = 2$  and the Artin-Schreier map  $x \mapsto x^2 + x$  is surjective on  $R/pR$ , then  $V^2 K_2(R) \subseteq p K_2(R)$ .*

*Proof.* If  $p$  is odd then any element of  $1 + p^2 R$  can be written as the  $p^{\text{th}}$ -power of an element of  $1 + pR$ ; this is also true when  $p = 2$  if the Artin-Schreier map is surjective, in which case we also use the identity  $-2\langle -p, -x \rangle = \langle p(x^2 + x), p \rangle$  (by (D1) and (D2)) to see that  $V^2 K_2(R) \subseteq 2K_2(R)$ .

If  $p = 2$  but we drop any assumption on the Artin-Schreier map, then it is still true that any element of  $1 + p^3 R$  can be written as the  $p^{\text{th}}$ -power of an element of  $1 + p^2 R$ .  $\square$

## 2.2 The differential maps to the graded pieces

The next step is to define the standard differential maps onto the graded pieces, for which we recall the following description of differential forms:

**Lemma 2.9.** *Let  $R$  be a ring which is additively generated by its units. Then the map*

$$R \otimes_{\mathbb{Z}} R^{\times \otimes zj} \longrightarrow \Omega_{R^j}^j, \quad a \otimes b_1 \otimes \cdots \otimes b_j \mapsto a \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_j}{b_j}$$

*is surjective and its kernel is generated by elements of the following types:*

- $a \otimes b_1 \otimes \cdots \otimes b_j$ , where  $b_i = b_j$  for some  $i \neq j$ , and
- $\sum_{i=1}^n a_i \otimes a_i \otimes b_1 \otimes \cdots \otimes b_{j-1} - \sum_{i=1}^m a'_i \otimes a'_i \otimes b_1 \otimes \cdots \otimes b_{j-1}$  where  $a_i, a'_i \in R^\times$  are elements satisfying  $\sum_{i=1}^n a_i = \sum_{i=1}^m a'_i$ .

*Proof.* Used in [4, Lem. 4.3], a detailed proof may be found in the appendix of [23].  $\square$

To define the differential maps in a wide degree of generality, it is helpful to adopt the following definitions (in practice our ring  $R$  will be local and  $\overline{K}_*(R)$  will either be its improved Milnor  $K$ -theory or a quotient thereof):

**Definition 2.10.** Let  $R$  be a ring which is additively generated by units and whose Jacobson radical contains  $p$ . Let  $\overline{K}_*(R)$  be a graded quotient of  $K_*^M(R)$  such that the quotient map  $K_2^M(R) \rightarrow \overline{K}_2(R)$  kills  $\text{Ker}(K_2^M(R) \rightarrow K_2(R))$ .

For  $i, j \geq 0$ , we let  $U^i \overline{K}_j(R) \subseteq \overline{K}_j(R)$  denote the subgroup generated by Steinberg symbols  $\{a_1, \dots, a_j\}$  where at least one of  $a_1, \dots, a_j \in R^\times$  belongs to  $1 + p^i R$ . Similarly to Definition 2.3, we also introduce

$$V^i \overline{K}_j(R) := \begin{cases} V^2 K_2(R) \cdot \overline{K}_{j-2}(R) & p = 2 = i \text{ and } j \geq 2, \\ U^i \overline{K}_j(R) & \text{else.} \end{cases}$$

whose graded pieces will be denoted by  $\text{gr}_V^i \overline{K}_j(R) := V^i \overline{K}_j(R) / V^{i+1} \overline{K}_j(R)$ .

Although it is not necessary for our main results, one justification for the  $V$ -filtration is the following multiplicativity:

**Lemma 2.11.** *Under the same hypotheses as the previous definition, the  $V$ -filtration is descending and multiplicative, i.e.,  $V^i \overline{K}_j(R) \cdot V^{i'} \overline{K}_{j'}(R) \subseteq V^{i+i'} \overline{K}_{j+j'}(R)$  for all  $i, i', j, j' \geq 0$ .*

*Proof.* We only treat the case where  $p = 2$ ,  $i = 2$ ,  $i' = 1$ . All other cases follow from a modification of the arguments in the proof of Lemma 2.5, and in any case will not be needed for the main results of the article.

Given any  $a, c \in R$ , we need to show that  $\langle pa, p \rangle \{1 + pc\} \in V^3 K_3^M(R)$ . We have that

$$\begin{aligned} \langle pa, p \rangle \{1 + pc\} &\stackrel{\text{(D5)}}{=} \{1 + pa, -(1 + p^2 a), 1 + pc\} \\ &= \{1 + pa, 1 + p^2 a, 1 + pc\} + \{1 + pa, -1, 1 + pc\} \\ &\stackrel{\text{Lem. 2.5(i)}}{\equiv} \{1 + pa, -1, 1 + pc\} \pmod{V^3 \overline{K}_3(R)} \end{aligned}$$

Now applying the congruence in Remark 2.6 to see that this is  $\equiv \langle pd, p \rangle \pmod{U^2 \overline{K}_2(R) \{-1\}}$  for some  $d \in R$ ; but  $U^2 \overline{K}_2(R) \{-1\} \subseteq V^3 \overline{K}_3(R)$  by Lemma 2.5(ii), so we have reduced the problem to showing that  $\langle pd, p \rangle \{-1\} \in V^3 K_3^M(R)$ . Rewriting this using (D5) and again using Lemma 2.5(ii), it is equivalent to check that  $\{1 + pd, -1, -1\} \in V^3 K_3^M(R)$ . By the same argument as in the proof of Lemma 1.11 we may assume that  $d \in R^\times$ .

Next note that we can write  $1 + pd = (1 - pd)(1 + p^2 d')$  for some  $d' \in R$ , and therefore we have  $\{1 + pd, -1, -1\} = \{1 + pd, -1, -d\} - \{(1 - pd)(1 + p^2 d'), -1, d\}$ . But  $\{1 + pd, -1, -d\}$  and  $\{1 - pd, -1, d\}$  vanish by Lemma 2.14 below, and  $\{1 + p^2 d', -1, -d\}$  lies in  $V^3 \overline{K}_3^M(R)$  by Lemma 2.5(ii).  $\square$

We next prove that Bloch–Kato’s maps to the graded pieces of  $p$ -adic étale cohomology factor through the  $K$ -groups of  $R$  itself. In the case of the map  $\rho_j^i$  when  $p \neq 2$ , a similar result is stated by Kurihara in special cases [31, Lem. 2.2], though he omits the manipulations of Dennis–Stein symbols which we believe are necessary.

**Definition 2.12.** Let  $R$  be an  $\mathbb{F}_p$ -algebra. We define  $\tilde{\nu}(j)(R) := \text{coker}(1 - C^{-1} : \Omega_R^j \rightarrow \Omega_R^j / d\Omega_R^{j-1})$ , where  $C^{-1}$  is the inverse Cartier operator. Equivalently  $\tilde{\nu}(j)(R) = H_{\text{ét}}^1(\text{Spec } R, \Omega_{\text{log}}^j)$ , as follows from the short exact sequence  $0 \rightarrow \Omega_{\text{log}}^j \rightarrow \Omega^j \xrightarrow{1 - C^{-1}} \Omega^j / d\Omega^{j-1} \rightarrow 0$  of étale sheaves on  $\text{Spec } R$  [37, Corol. 4.2(iii)].



**Proposition 2.13.** *Let  $R$  and  $\overline{K}_*(R)$  be as in Definition 2.10, and fix  $j \geq 0$ ,  $i \geq 1$ . Then there are well-defined homomorphisms*

$$\rho_j^i : \Omega_{R/pR}^{j-1} \rightarrow \mathrm{gr}_V^i \overline{K}_j(R), \quad a \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-1}}{b_{j-1}} \mapsto \{1 + \tilde{a}p^i, \tilde{b}_1, \dots, \tilde{b}_{j-1}\}$$

and

$$\sigma_j^i : \Omega_{R/pR}^{j-2} \rightarrow \mathrm{gr}_V^i \overline{K}_j(R), \quad a \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-2}}{b_{j-2}} \mapsto \langle \tilde{a}p^{i-1}, p \rangle \{\tilde{b}_1, \dots, \tilde{b}_{j-2}\}$$

where tilde denotes arbitrary lifts of elements from  $R/pR$  to  $R$ . Moreover:

- (i) When  $p = 2 = i$  and  $j \geq 2$ , the sum  $\rho_j^i \oplus \sigma_j^i : \Omega_{R/pR}^{j-1} \oplus \Omega_{R/pR}^{j-2} \rightarrow \mathrm{gr}^i K_j^M(R)$  is surjective; in all other cases  $\rho_j^i$  itself is surjective.
- (ii) Assume  $p = 2 = i$ , that  $j \geq 2$ , and  $\overline{K}_*(R)$  is killed by  $p$ . Then  $\rho_j^i$  factors through  $\tilde{v}(j-1)(R/pR)$ ; assuming in addition that  $R$  is weakly 4-fold stable, then also  $\sigma_j^i$  factors through  $\tilde{v}(j-2)(R/pR)$ .

*Proof.* First note that  $\{1 + \tilde{a}p^i, \tilde{b}_1, \dots, \tilde{b}_{j-1}\}$  and  $\langle \tilde{a}p^{i-1}, p \rangle \{\tilde{b}_1, \dots, \tilde{b}_{j-2}\}$  do belong to  $V^i \overline{K}_j(R)$ ; in the first case this is by definition of the  $U$ -filtration, in the second case it is by Lemma 2.5(iii). In other words, we at least have well-defined maps

$$\tilde{\rho}_j^i : R \times (R^\times)^{\times j-1} \longrightarrow \mathrm{gr}_V^i \overline{K}_j(R), \quad a \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-1}}{b_{j-1}} \mapsto \{1 + ap^i, b_1, \dots, b_{j-1}\}$$

(and similarly  $\tilde{\sigma}_j^i$ ), and our goal is to show that these descend to  $\Omega_{R/pR}^{j-1}$  (resp.  $\Omega_{R/pR}^{j-2}$ ).

Firstly, multilinearity of Steinberg symbols shows that  $\tilde{\rho}_j^i$  and  $\tilde{\sigma}_j^i$  are multilinear away from the first coordinate. In the case of the first coordinate,  $\tilde{\rho}_j^i$  is multilinear by definition of the  $U$ -filtration, while for  $\tilde{\sigma}_j^i$  we argue as follows: for  $a, a' \in R$ , we first use (D1) to swap the other of the necessary relation for simplicity and then argue as in Lemma 2.4 to see that  $\langle p, ap^{i-1} \rangle + \langle p, a'p^{i-1} \rangle = \langle p, (a+a')p^{i-1} \rangle + \langle p, p^{2i-1} \frac{aa'}{1+p^{2i-1}(a+a')} \rangle$ , where the second term lies in  $V^{i+1} \overline{K}_2(R)$  by Lemma 2.5(iii).

We next claim that the induced map on  $R \otimes_{\mathbb{Z}} (R^\times)^{\otimes j-1}$  descends further to  $\overline{R}^\times \otimes_{\mathbb{Z}} (\overline{R}^\times)^{\otimes j-1}$  (resp.  $j-2$  in place of  $j-1$  in the case of  $\sigma_j^i$ ), where we write  $\overline{R} := R/pR$  for simplicity. For  $\rho_j^i$  each of the necessary relations follows immediately either from the definition of the  $U$ -filtration or Lemma 2.5(i), so we will focus on the slightly more complicated case of  $\sigma_j^i$ : firstly, if  $a \in pR$  then  $\langle ap^{i-1}, p \rangle \in V^{i+1} \overline{K}_2(R)$  by Lemma 2.5(iii); secondly, if  $b \in 1 + pR$  then  $\langle ap^{i-1}, p \rangle \{b\} \in V^{i+1} \overline{K}_3(R)$  by Lemmas 2.5(iii) & 2.11.

Our desired maps  $\rho_j^i$  and  $\sigma_j^i$  have therefore been shown to be well-defined after pre-composition with the surjection  $\overline{R} \otimes_{\mathbb{Z}} \overline{R}^{\otimes j-1} \rightarrow \Omega_{\overline{R}}^{j-1}$ ,  $a \otimes b_1 \otimes \cdots \otimes b_{j-1} \mapsto a \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-1}}{b_{j-1}}$  (resp.  $j-2$  in place of  $j-1$ ) of Lemma 2.9. It remains to show that the relations of that lemma are sent to zero in  $\mathrm{gr}_V^i \overline{K}_j(R)$ .

For the first type of relation, if  $b_i = b_j$  in  $\overline{R}$  for some  $i \neq j$  then we are free to pick the same lift  $\tilde{b}_i = \tilde{b}_j$ , and the desired relation follows from the fact that  $\{1 + \tilde{a}p^i, \tilde{b}_1, \tilde{b}_j\} = \{1 + \tilde{a}p^i, \tilde{b}_i, -1\} \in V^{i+1} \overline{K}_3(R)$  by Lemma 2.5.

For the second type of relation, it is enough to check that the maps  $R^\times \rightarrow \mathrm{gr}_V^i \overline{K}_2(R)$ ,  $a \mapsto \{1 + ap^i, a\}$ , and  $R^\times \rightarrow \mathrm{gr}_V^i \overline{K}_3(R)$ ,  $a \mapsto \langle ap^{i-1}, p \rangle \{a\}$ , extend (necessarily uniquely) to additive maps defined on all of  $R$ . In the first case we observe that  $\{1 + ap^i, a\} = \langle p^i, a \rangle$ , where the latter symbol makes sense for any  $a \in R$  and is additive by Corollary 2.7. In the second case, when  $i = 1$  the problem is vacuous as  $\langle a, p \rangle \{a\} = -\{1 + ap, -a, a\} = 0$  (first equality by (D1) and (D4), second equality since  $\{-a, a\} = 0$  in  $K_2(R)$ ); when  $i > 1$  we instead observe that

$$\begin{aligned} \langle ap^{i-1}, p \rangle \{a\} &\stackrel{(D5)}{=} \left\{ -\frac{1+ap^{i-1}}{1-p}, \frac{1+ap^i}{1-p}, a \right\} \\ &\equiv -\left\{ -\frac{1+ap^{i-1}}{1-p}, 1-p, a \right\} \pmod{V^{i+1} \overline{K}_3(R)} \\ &= \{1 + ap^{i-1}, a, 1-p\} + \{-(1-p), 1-p, a\} \\ &\stackrel{(D4)}{=} \langle p^{i-1}, a \rangle \{1-p\} \end{aligned}$$

where the congruence holds by Lemma 2.5, and the final equality again using vanishing of  $\{-(1-p), 1-p\}$ ; the final term makes sense for any  $a \in R$  and is additive modulo  $V^i \overline{K}_2(R)\{1-p\} \subseteq V^{i+1} \overline{K}_3(R)$  by Corollary 2.7 and Lemma 2.11.

This completes the proof that the map  $\rho_j^i$  and  $\sigma_j^i$  are well-defined. Claim (i) about surjectivity is immediate from the definition of the  $V$ -filtration, so it remains to establishing claim (ii). So in the rest of the proof we assume  $p = 2 = i$  and  $j \geq 2$ , and that  $\overline{K}_*(R)$  is killed by  $p$ .

First we show that  $\rho_j^i$  vanishes on closed forms; it is enough (since  $R$  is additively generated by units) to check closed forms  $da \wedge \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-2}}{b_{j-2}} = d(a \wedge \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-2}}{b_{j-2}})$  where  $a, b_1, \dots, b_{j-2} \in R^\times$ . Such a form is sent to  $\{1 + \tilde{a}p^2, \tilde{a}, \tilde{b}_1, \dots, \tilde{b}_{j-2}\} \in \text{gr}_V^2 \overline{K}_2(R)$ . But  $u := \tilde{a}$  is a unit and we have  $\{1 + up^2, u\} \equiv \{1 + up^2, -u\} \pmod{V^3 K_2(R)}$  by Lemma 2.5(ii), and then  $\{1 + up^2, -u\} \stackrel{(D4)}{=} \langle -p^2, -u \rangle \stackrel{(D1)}{=} -\langle u, p^2 \rangle \stackrel{(D3)}{=} -2\langle up, p \rangle \in 2V^2 K_2(R)$ , which therefore vanishes in  $\text{gr}_V^2 \overline{K}_2(R)$ . To show that  $\rho_j^i$  descends further to  $\tilde{v}(j-1)(R/pR)$ , it is similarly enough to observe that  $\{1 + (\tilde{a}^2 - \tilde{a})p^2, \tilde{b}_1, \dots, \tilde{b}_{j-1}\}$  is a multiple of 2 mod  $V^3 \overline{K}_j^M(R)$ , which is true since  $1 + (\tilde{a}^p - \tilde{a})p^2 = (1 - \tilde{a}p)^2$ .

Since the final sentence can also be applied to  $\sigma_j^i$ , it remains only to show that  $\sigma_j^i$  vanishes on closed forms; similarly to the previous paragraph, it is enough to check on closed forms  $-da \wedge \frac{db_1}{b_1} \wedge \cdots \wedge \frac{db_{j-3}}{b_{j-3}}$  (the minus sign is included to simplify the following manipulations; in any case  $-1 = 1$  in  $R/pR$ ), and this reduces to showing that  $\langle -up, p \rangle \{u\} \in V^3 \overline{K}_3(R)$  for all  $u \in R^\times$ . We have

$$\langle -up, p \rangle \{u\} \stackrel{(D5)}{=} \{1 - up, -(1 - up^2), u\} = \{1 + up, 1 - up^2, -u\} + \{1 + up, 1 - up^2, -1\} + \{1 + up, -1, u\}$$

(note that for the first equation we also use that  $1 - p = -1$ ) where we have already shown in the previous paragraph that  $\{1 + up^2, u\} \in 2V^2 K_2(R)$ , and in Lemma 2.5(ii) that  $\{1 - up^2, -1\} \in V^3 K_2(R)$ . Therefore, to complete the proof that  $\langle -up, p \rangle \{u\} \in V^3 \overline{K}_3(R)$  it is more than enough to show that  $\{1 + up, -1, u\}$  vanishes; but since  $-1 = 1 - p$ , this is a special case of Lemma 2.14 (although we assume weak 5-fold stability in the statement of that lemma, the proof only uses weak 4-fold stability and skew symmetry; but the latter follows in the current context from the fact that  $K_2^M(R) \rightarrow \overline{K}_2(R)$  factors through  $\text{Im}(K_2^M(R) \rightarrow K_2(R))$ , where skew symmetry holds).  $\square$

We record the following general lemma which was required:

**Lemma 2.14.** *Let  $R$  be a weakly 5-fold stable ring and  $\pi \in R$  an element of the Jacobson radical. Then  $\{1 - u\pi, 1 - \pi, u\} = 0$  in  $K_3^M(R)$  for all  $u \in R^\times$ .*

*Proof.* Firstly, weak 5-fold stability implies that skew symmetry  $\{x, -x\} = 0$  holds for all  $x \in R^\times$ . So it is equivalent to prove vanishing of the symbol  $\{1 - u\pi, -u(1 - \pi), u\}$ , or even of  $\{1 - u\pi, u, u(\pi - 1)\}$ . Morally the reason that this symbol vanishes is that its terms sum to 1 [46, Corol. 1.8], but we carefully unfold Suslin–Yarosh’s proof to show that our stability condition suffices.

By 4-fold stability, there exists a unit  $a \in R^\times$  such that  $1 + a$ ,  $1 - au^{-1}$ , and  $1 + a(1 + u^{-1})$  are all units; so  $u - a$  is a unit, and we set  $b := u \frac{a - u\pi}{a - u} \in R^\times$ . Using that  $\pi$  is in the Jacobson radical, it is easily checked that  $a + b$  and  $1 + b$  are both units; note also that  $\frac{ab}{a + b - u\pi} = u$ .

It now follows from [46, Lem. 1.5] (with  $s := u\pi$ , whence  $s - a$ ,  $s - b$ , and  $s - a - b$  are all units) that  $\{a, u\pi - a\} + \{b, u\pi - b\} = \{u, u(\pi - 1)\}$ . So it is enough to show that  $\{1 - u\pi, x, u\pi - x\} = 0$  for all  $x \in R^\times$  such that  $1 + x$  is also a unit (namely,  $x = a, b$ ). To prove this we first recall the standard identity  $\{y, x\} = \{y + x, -\frac{x}{y}\}$  for all  $x, y \in R^\times$  for which  $x + y$  is also a unit (to check this, just expand  $0 = \{\frac{y}{x+y}, \frac{x}{x+y}\}$ ). Applying this with  $y = 1 - u\pi$  we deduce that, for all  $x \in R^\times$  for which  $1 + x$  is a unit,

$$\{1 - u\pi, x, u\pi - x\} = \{1 - u\pi + x, -\frac{x}{1 - u\pi}, u\pi - x\},$$

which vanishes as desired by the Steinberg relation.  $\square$

**Corollary 2.15.** *Let  $R$  be a  $p$ -henselian ring which is additively generated by units; assume that  $K_2^M(R) \xrightarrow{\sim} K_2(R)$ . Set  $k_j^M(R) := K_j^M(R)/p$ .*

(i) When  $p$  is odd, there is an exact sequence

$$\Omega_{R/pR}^{j-1} \xrightarrow{\rho_j^1} k_j^M(R) \xrightarrow{\partial} k_j^M(R/pR) \longrightarrow 0$$

(ii) When  $p = 2$  and  $R$  is weakly 4-fold stable, then the map

$$\rho_j^2 \oplus \sigma_j^2 : \tilde{\nu}(j-1)(R/pR) \oplus \tilde{\nu}(j-2)(R/pR) \longrightarrow k_j^M(R)$$

is well-defined and its cokernel fits into an exact sequence

$$\Omega_{R/pR}^{j-1} \xrightarrow{\rho_j^1} \operatorname{coker}(\rho_j^2 \oplus \sigma_j^2) \xrightarrow{\partial} k_j^M(R/pR) \rightarrow 0$$

*Proof.* First note that  $V^1 k_j^M(R) = \operatorname{Ker}(k_j^M(R) \rightarrow k_j^M(R/pR))$ . This follows from the usual construction of an inverse map  $k_j^M(R/pR) \rightarrow k_j^M(R)/V^1 k_j^M(R)$ ,  $\{a_1, \dots, a_j\} \mapsto \{\tilde{a}_1, \dots, \tilde{a}_j\}$ . If  $p$  is odd then  $V^2 k_j^M(R) = 0$ , and if  $p$  is even then  $V^3 k_j^M(R) = 0$  (see Lemma 2.8). The statements now follow from Proposition 2.13.  $\square$

### 2.3 Comparison to étale cohomology and proof of Theorem 2.17

In this section we complete the proof of the main theorems of 1.1. We will need the following theorem which is a special case of [6, Corol. 1.4.1] and which calculates the sheaf of  $p$ -adic vanishing cycles  $i^* Rj_* \mu_p^{\otimes j}$  (for the notation see the proof of Lemma 1.5) on a smooth scheme over a complete discrete valuation ring  $V$  of mixed characteristic. We cite their result only in the special case when  $r = 1$  and  $V$  is absolutely unramified, when the filtration calculating  $i^* Rj_* \mu_p^{\otimes j}$  is very short.

**Theorem 2.16** (Bloch–Kato). *Let  $V$  be an absolutely unramified, complete discrete valuation ring of mixed characteristic, and  $X$  a smooth  $V$ -scheme. Then there is a natural short exact sequence of étale sheaves on  $Y$*

$$0 \longrightarrow \Omega_Y^{j-1} \xrightarrow{\rho_j^1} i^* Rj_* \mu_p^{\otimes j} \xrightarrow{\partial \oplus \partial_p} \Omega_{Y, \log}^{j-1} \oplus \Omega_{Y, \log}^j \longrightarrow 0.$$

We may finally prove Theorem 1.3 in the unramified, mod  $p$  case:

**Theorem 2.17.** *Let  $V$  be an absolutely unramified, complete discrete valuation ring of mixed characteristic, and  $R$  a local,  $p$ -henselian, ind-smooth  $V$ -algebra; let  $j \geq 1$  and assume  $R$  has big residue field. Then*

(i) *the cohomological symbol  $h_p^j : K_j^M(R[\frac{1}{p}])/p \longrightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j})$  is an isomorphism;*

(ii) *the canonical map  $K_j^M(R)/p \longrightarrow K_j^M(R[\frac{1}{p}])/p$  is injective.*

*Proof.* Although  $X := \operatorname{Spec} R$  is not smooth over  $V$ , the conclusions of Theorem 2.16 remain valid by taking a filtered colimit. In particular, by taking cohomology we obtain a short exact sequence

$$0 \longrightarrow \Omega_{R/pR}^{j-1} \xrightarrow{\rho_j^1} H_{\text{ét}}^0(\operatorname{Spec}(R/pR), i^* Rj_* \mu_p^{\otimes j}) \xrightarrow{\partial \oplus \partial_p} \Omega_{R/pR, \log}^{j-1} \oplus \Omega_{R/pR, \log}^j \longrightarrow 0 \quad (9)$$

and an isomorphism

$$\partial \oplus \partial_p : H_{\text{ét}}^1(\operatorname{Spec}(R/pR), i^* Rj_* \mu_p^{\otimes j}) \xrightarrow{\cong} \tilde{\nu}(j-1)(R/pR) \oplus \tilde{\nu}(j)(R/pR) \quad (10)$$

for each  $j \geq 0$ .

First we treat the case that  $p$  is odd. Then we have the following commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & \Omega_{R/pR}^{j-1} & \xrightarrow{\rho_j^1} & H_{\text{ét}}^0(\text{Spec}(R/pR), \mathfrak{i}^* R^j j_* \mu_p^{\otimes j}) & \xrightarrow{\partial \oplus \partial_p} & \Omega_{R/pR, \log}^{j-1} \oplus \Omega_{R/pR, \log}^j & \longrightarrow & 0 \\
& & \parallel & & \alpha \uparrow & & \uparrow & & \\
& & & & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) & & & & \\
& & & & h_1^j \uparrow & & & & \\
& & & & k_j^M(R[\frac{1}{p}]) & & & & \\
& & & & \uparrow & & & & \\
& & & & k_j^M(R) & \longrightarrow & k_j^M(R/pR) & \longrightarrow & 0 \\
& & & & \uparrow & & \uparrow & & \\
& & & & \rho_j^1 & & (0, \text{dlog}) & & \\
& & & & \Omega_{R/pR}^{j-1} & & & & 
\end{array}$$

in which the top row is exact by (9) and the bottom row by Corollary 2.15(i). So we immediately deduce that the map  $\rho_j^1$  in the bottom row is injective. Next, the map  $\alpha$  is surjective: indeed, it fits into a short exact sequence

$$0 \longrightarrow H_{\text{ét}}^1(\text{Spec}(R/pR), \mathfrak{i}^* R^{j-1} j_* \mu_p^{\otimes j}) \xrightarrow{\beta} H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) \xrightarrow{\alpha} H_{\text{ét}}^0(\text{Spec}(R/pR), \mathfrak{i}^* R^j j_* \mu_p^{\otimes j}) \longrightarrow 0. \quad (11)$$

This follows from the spectral sequence

$$E_2^{s,t} = H_{\text{ét}}^s(\text{Spec}(R/pR), \mathfrak{i}^* R^t j_* (\mu_p^{\otimes j})) \Rightarrow H_{\text{ét}}^{s+t}(R[\frac{1}{p}], \mu_p^{\otimes j})$$

and the fact that  $\text{Spec}(R/pR)$  has étale cohomological dimension  $\leq 1$  for  $p$ -torsion étale sheaves (we remark that (11) remains valid when  $p = 2$  and will be used later in that case).

The composition  $\alpha \circ h_1^j$  is an isomorphism: indeed, there is an exact sequence

$$\Omega_{R/pR}^{j-1} \longrightarrow k_j^M(R[\frac{1}{p}]) \xrightarrow{\partial \oplus \partial_p} k_{j-1}^M(R/pR) \oplus k_j^M(R/pR) \longrightarrow 0$$

by splicing Lemma 1.11 (with  $t = p$ ) and Corollary 2.15(i), and this may be compared to the top row of the diagram to prove the isomorphism.

The hypotheses of the proposition, and hence the diagram, remain valid if we replace  $R$  by  $\mathcal{O}_F :=$  the henselisation of the discrete valuation ring  $R_{pR}$ ; as indicated, we write  $F := \text{Frac } \mathcal{O}_F = \mathcal{O}_F[\frac{1}{p}]$ . Then the cohomological symbol  $h_1^j : k_j^M(F) \xrightarrow{\cong} H_{\text{ét}}^j(F, \mu_p^{\otimes j})$  is an isomorphism by Bloch–Kato [6, Thm. 5.12]; combined with the isomorphism of the previous paragraph (for  $\mathcal{O}_F$  rather than  $R$ ), we deduce that  $\alpha_{\mathcal{O}_F} : H_{\text{ét}}^j(F, \mu_p^{\otimes j}) \rightarrow H_{\text{ét}}^0(\text{Spec}(\mathcal{O}_F/p\mathcal{O}_F), \mathfrak{i}^* R^j j_* \mu_p^{\otimes j})$  is an isomorphism.

Two results of Gabber, which we recall in Theorem 5.8, imply that  $H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) \rightarrow H_{\text{ét}}^j(F, \mu_p^{\otimes j})$  is injective. So from the injectivity of  $\alpha_{\mathcal{O}_F}$  and the surjectivity of  $\alpha$  (and their compatibility), it follows that  $\alpha$  is an isomorphism. Therefore  $h_1^j$  is an isomorphism and a diagram chase reveals that  $k_j^M(R) \rightarrow k_j^M(R[\frac{1}{p}])$  is injective. Diagrammatically, this last argument can be summarised as follows:

$$\begin{array}{ccccc}
k_j^M(F) & \xrightarrow[h_1^j]{\cong} & H_{\text{ét}}^j(F, \mu_p^{\otimes j}) & \xrightarrow[\alpha_{\mathcal{O}_F}]{\cong} & H_{\text{ét}}^0(\text{Spec}(\mathcal{O}_F/p\mathcal{O}_F), \mathfrak{i}^* R^j j_* \mu_p^{\otimes j}) \\
& & \uparrow & & \uparrow \\
k_j^M(R[\frac{1}{p}]) & \xrightarrow[h_1^j]{} & H_{\text{ét}}^j(R[\frac{1}{p}], \mu_p^{\otimes j}) & \xrightarrow[\alpha]{} & H_{\text{ét}}^0(\text{Spec}(R/pR), \mathfrak{i}^* R^j j_* \mu_p^{\otimes j}).
\end{array}$$

It remains to treat the case  $p = 2$ . In that case we have a diagram (ignoring the dashed arrow for



*Proof.* We begin by explaining the existence of a natural symbol map  $K_j^M(A) \rightarrow H^j(\mathbb{Z}/p^r(j)(A))$ , induced by the Galois symbol in the smooth case. So suppose first that  $A$  is a local,  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebra; to put ourselves in the context of our main theorems (which are stated over complete discrete valuation rings), let  $A'$  be the  $p$ -henselisation of  $A \otimes_{\mathbb{Z}_{(p)}} \mathbb{Z}_p$  and note that  $A'$  is a local,  $p$ -henselian, ind-smooth  $\mathbb{Z}_p$ -algebra. The Galois symbol therefore induces

$$K_j^M(A) \rightarrow K_j^M(A') \rightarrow \text{Ker}(H_{\text{ét}}^j(A'[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \rightarrow W_r \Omega_{A'/pA', \log}^{j-1}) \stackrel{\text{Corol. 1.15}}{\cong} H^j(\mathbb{Z}_p(j)(A')/p^r) \xrightarrow{\sim} H^j(\mathbb{Z}_p(j)(A)/p^r),$$

where the final isomorphism holds since  $A$  and  $A'$  have the same  $p$ -adic completions (from which it also follows that the first arrow is an isomorphism modulo any power of  $p$ , which will be relevant later). This defines the desired map  $K_j^M(A) \rightarrow H^j(\mathbb{Z}_p(j)(A)/p^r)$  whenever  $A$  is a local,  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebra.

For general local,  $p$ -henselian rings  $A$ , we define  $K_j^M(A) \rightarrow H^j(\mathbb{Z}_p(j)(A)/p^r)$  via left Kan extension from the ind-smooth case, using Propositions 1.16 and 1.17. Concretely, this means that if we pick any local,  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebra  $R$  surjecting onto  $A$ , then the map  $K_j^M(R) \rightarrow H^j(\mathbb{Z}_p(j)(R)/p^r)$  defined in the previous paragraph descends (necessarily uniquely) to a map  $K_j^M(A) \rightarrow H^j(\mathbb{Z}_p(j)(A)/p^r)$ . Our goal is to show that this descends further to an isomorphism  $\widehat{K}_j^M(A)/p^r \xrightarrow{\sim} H^j(\mathbb{Z}_p(j)(A)/p^r)$ .

We have already established this isomorphism in two cases:

- (i) “smooth case”, namely whenever  $A = R$  is a local, ind-smooth  $p$ -henselian algebra over a complete discrete valuation ring: indeed, this is precisely Theorem 1.7;
- (ii) and “big residue field case”, namely whenever  $A$  is a local,  $p$ -henselian algebra over a complete discrete valuation ring with big residue field: this is precisely line (6) of the proof of Proposition 1.18, which was conditional at the time on the now-established Theorem 1.7.

To establish the isomorphism in general we may reduce, by taking a filtered colimit, to the case that  $A$  is the  $p$ -henselisation of a local, essentially finite type  $\mathbb{Z}_{(p)}$ -algebra. Applying the same trick as in the proof of Proposition 1.9, we realise the residue field  $K$  of  $A$  as a finite extension of  $\mathbb{F}_p(t_1, \dots, t_d)$  and then pick a big enough finite field  $k'$  such that  $|k' : \mathbb{F}_p|$  is coprime to both  $p$  and  $|K : \mathbb{F}_p(\underline{t})|$ . Let  $A'$  be the  $p$ -henselisation of  $A \otimes_{\mathbb{Z}_{(p)}} W(k')$ , which is a local,  $p$ -henselian,  $W(k')$ -algebra, and consider the commutative diagram

$$\begin{array}{ccccc} K_j^M(A')/p^r & \xrightarrow{\cong} & H^j(\mathbb{Z}_p(j)(A')/p^r) & \cong & H^j(\mathbb{Z}_p(j)(\widehat{A}')/p^r) \\ \uparrow & & \uparrow & & \uparrow \\ K_j^M(A)/p^r & \longrightarrow & H^j(\mathbb{Z}_p(j)(A)/p^r) & \cong & H^j(\mathbb{Z}_p(j)(\widehat{A})/p^r) \end{array}$$

The symbol map in the top row is an isomorphism by the big residue field case. The right vertical arrow is injective since  $\widehat{A}' = \widehat{A} \otimes_{\mathbb{Z}_p} W(k')$  is a finite étale extension of  $\widehat{A}$  of degree prime to  $p$ : see Corollary 3.4. Since  $K_j^M(A')/p^r \xrightarrow{\sim} \widehat{K}_j^M(A')/p^r \supseteq \widehat{K}_j^M(A)/p^r$ , it now follows formally from a diagram chase that the symbol map for  $A$  descends to an injection  $\widehat{K}_j^M(A)/p^r \hookrightarrow H^j(\mathbb{Z}_p(j)(A)/p^r)$ .

To prove surjectivity, pick an  $p$ -henselian, ind-smooth  $\mathbb{Z}_{(p)}$ -algebra surjecting onto  $A$  and henselise it along the kernel; the result  $R$  is an  $p$ -henselian, ind-smooth  $\mathbb{Z}_p$ -algebra equipped with a henselian surjection  $R \rightarrow A$ . As at the end of the proof of Proposition 1.16, the induced map on completions  $\widehat{R} \rightarrow \widehat{A}$  is still a henselian surjection, and so rigidity for the  $\mathbb{Z}_p(j)$  [2, Thm. 5.2] implies that  $H^j(\mathbb{Z}_p(j)(R)/p^r) \rightarrow H^j(\mathbb{Z}_p(j)(A)/p^r)$  is surjective. This reduces the necessary surjectivity to the case of  $R$  in place of  $A$ ; but that is covered by the smooth case mentioned above.  $\square$

**Remark 3.2.** Theorem 3.1 implies, in particular, that the maps  $H^j(\mathbb{Z}/p^{r+1}(j)(A)) \rightarrow H^j(\mathbb{Z}/p^r(j)(A))$  are surjective for all  $r \geq 1$ ; equivalently  $H^{j+1}(\mathbb{Z}_p(j)(A))$  is  $p$ -torsion-free, whence  $H^j(\mathbb{Z}_p(j)(A))/p^r \xrightarrow{\sim} H^j(\mathbb{Z}/p^r(j)(A))$  for all  $r \geq 1$ .

We record here an independent proof of these results bypassing our main theorems. We wish to show that  $p : H^{j+1}(\mathbb{Z}_p(j)(R)/p^r) \rightarrow H^{j+1}(\mathbb{Z}_p(j)(R)/p^{r+1})$  is injective; as at the end of the proof of the

previous theorem we may use rigidity to reduce to the case that  $A = R$  is a local,  $p$ -henselian, ind-smooth  $\mathbb{Z}_p$ -algebra, then we use rigidity again to replace  $R$  by  $\overline{R} := R/pR$ .

The exact sequence  $0 \rightarrow W_{r-1}\Omega_{\overline{R},\log}^j \xrightarrow{P} W_r\Omega_{\overline{R},\log}^j \rightarrow \Omega_{\log}^j \rightarrow 0$  of étale sheaves on  $\text{Spec } \overline{R}$  induces upon taking cohomology a sequence

$$0 \rightarrow W_{r-1}\Omega_{\overline{R},\log}^j \xrightarrow{P} W_r\Omega_{\overline{R},\log}^j \rightarrow \Omega_{\overline{R},\log}^j \rightarrow \tilde{\nu}_{r-1}(j)(\overline{R}) \xrightarrow{P} \tilde{\nu}_r(j)(\overline{R}) \rightarrow \tilde{\nu}(j)(\overline{R}) \rightarrow 0$$

where  $\tilde{\nu}_r(j)(\overline{R}) := H_{\text{ét}}^1(\text{Spec } \overline{R}, W_r\Omega_{\log}^j)$ .

But the projection map  $W_r\Omega_{\overline{R},\log}^j \rightarrow \Omega_{\overline{R},\log}^j$  is surjective since both sides are quotients of  $K_j^M(\overline{R})$  (see Remark 1.6), so we have proved exactness of  $0 \rightarrow \tilde{\nu}_{r-1}(j)(\overline{R}) \xrightarrow{P} \tilde{\nu}_r(j)(\overline{R}) \rightarrow \tilde{\nu}(j)(\overline{R}) \rightarrow 0$ . Recalling that  $H^{j+1}(\mathbb{Z}/p^r(j)(A)) = \tilde{\nu}_r(j)(A)$  [3, Corol. 8.19], this is precisely the desired result.

To treat the passage from Milnor  $K$ -theory to its improved variant, we unsurprisingly needed norm maps on  $\mathbb{Z}_p(j)(-)$ . Although these should exist in much greater generality (say, for arbitrary finite quasisyntomic maps), the case of finite étale maps is sufficient for our purposes, namely Corollary 3.4.

**Lemma 3.3.** *To any finite étale map  $A \rightarrow B$  of  $p$ -adically complete rings there are associated norm maps  $N = N_{B/A} : \mathbb{Z}_p(j)(B) \rightarrow \mathbb{Z}_p(j)(A)$  for all  $j \geq 0$  satisfying the following properties:*

(i) *For any map  $A \rightarrow A'$  where  $A'$  is another  $p$ -adically complete ring, the diagram*

$$\begin{array}{ccc} \mathbb{Z}_p(j)(A) & \xleftarrow{N} & \mathbb{Z}_p(j)(B) \\ \downarrow & & \downarrow \\ \mathbb{Z}_p(j)(A') & \xleftarrow{N} & \mathbb{Z}_p(j)(A' \otimes_A B) \end{array}$$

*commutes.*

(ii) *When  $A \rightarrow B$  has constant degree  $d$ , then the composition  $\mathbb{Z}_p(j)(A) \rightarrow \mathbb{Z}_p(j)(B) \xrightarrow{N} \mathbb{Z}_p(j)(A)$  is multiplication by  $d$ .*

*Proof.* Suppose first that  $A$  is quasisyntomic, in which case  $B$  is automatically also quasisyntomic. Letting  $A \rightarrow S$  be a quasisyntomic cover where  $S$  is quasiregular semiperfectoid, then quasisyntomic descent of  $\mathbb{Z}_p(j)$  implies that  $\mathbb{Z}_p(j)(A)$  is equivalent to the totalisation of  $\mathbb{Z}_p(j)(-)$  of the  $p$ -completed Čech nerve of this cover, i.e.,

$$\mathbb{Z}_p(j)(A) \simeq |S \rightrightarrows S \widehat{\otimes}_A S \rightrightarrows S \widehat{\otimes}_A S \widehat{\otimes}_A S \rightrightarrows \cdots|$$

The base change  $S_B := S \otimes_A B$  is a quasiregular semiperfectoid ring providing a quasisyntomic cover of  $B$ , and so similarly  $\mathbb{Z}_p(j)(B) \simeq |\mathbb{Z}_p(j)(S_B^{\widehat{\otimes} \bullet})|$ .

Since all terms in the Čech nerves are themselves quasiregular semiperfectoid rings  $\square$ , there are natural equivalences  $\mathbb{Z}_p(j)(S^{\widehat{\otimes} A^n}) \simeq \tau_{[2j-1, 2j]} K(S^{\widehat{\otimes} A^n}; \mathbb{Z}_p)$  for all  $n \geq 0$ , and similarly for  $S_B$ , and therefore the  $K$ -theory norm map for the finite étale extension  $S^{\widehat{\otimes} A^n} \rightarrow S_B^{\widehat{\otimes} B^n} = S^{\widehat{\otimes} A^n} \otimes_A B$  induces  $N_{S_B^{\widehat{\otimes} B^n}/S^{\widehat{\otimes} A^n}} : \mathbb{Z}_p(j)(S_B^{\widehat{\otimes} B^n}) \rightarrow \mathbb{Z}_p(j)(S^{\widehat{\otimes} A^n})$ . These are moreover compatible in the sense that the diagram

$$\begin{array}{ccc} \mathbb{Z}_p(j)(S^{\widehat{\otimes} A^n}) & \xleftarrow{N} & \mathbb{Z}_p(j)(S_B^{\widehat{\otimes} B^n}) \\ \downarrow f & & \downarrow f \\ \mathbb{Z}_p(j)(S^{\widehat{\otimes} A^m}) & \xleftarrow{N} & \mathbb{Z}_p(j)(S_B^{\widehat{\otimes} B^m}) \end{array}$$

commutes for any map  $f : [m] \rightarrow [n]$ , since the analogous diagram commutes in  $K$ -theory; so we may totalise to induce a norm map  $N_{B/A}^S : \mathbb{Z}_p(j)(B) \rightarrow \mathbb{Z}_p(j)(A)$  which appears to depend on  $S$ .

But this dependence on  $S$  is superficial: given any quasisyntomic cover of  $A$  by another quasiregular semiperfectoid  $T$ , which we assume receives a map from  $S$  since otherwise we replace it by  $S \otimes_A T$ , the compatible commutative diagrams

$$\begin{array}{ccc} \mathbb{Z}_p(j)(S^{\widehat{\otimes}_A n}) & \xleftarrow{N} & \mathbb{Z}_p(j)(S^{\widehat{\otimes}_B n}) \\ \downarrow & & \downarrow \\ \mathbb{Z}_p(j)(T^{\widehat{\otimes}_A n}) & \xleftarrow{N} & \mathbb{Z}_p(j)(T^{\widehat{\otimes}_B n}) \end{array} \quad (12)$$

(again, since the analogous diagrams in  $K$ -theory commute and are compatible over  $n$ ) totalise to a commutative diagram

$$\begin{array}{ccc} \mathbb{Z}_p(j)(A) & & \mathbb{Z}_p(j)(B) \\ \downarrow \wr & & \downarrow \wr \\ |\mathbb{Z}_p(j)(S^{\widehat{\otimes}_A \bullet})| & \xleftarrow{N} & |\mathbb{Z}_p(j)(S^{\widehat{\otimes}_B \bullet})| \\ \downarrow \wr & & \downarrow \wr \\ |\mathbb{Z}_p(j)(T^{\widehat{\otimes}_A \bullet})| & \xleftarrow{N} & |\mathbb{Z}_p(j)(T^{\widehat{\otimes}_B \bullet})| \end{array}$$

i.e., informally  $N_{B/A}^S = N_{B/A}^{S'}$ . More precisely, we therefore define  $N_{B/A}$  to be the limit of  $N_{B/A}^S$  over all covers  $S$  of  $A$ , viewing  $\mathbb{Z}_p(A)$  as the limit of  $|\mathbb{Z}_p(j)(S^{\widehat{\otimes}_A \bullet})|$  over all such covers. But in practice in what follows, we will just pick a particular cover  $S$ .

We next check functoriality (i), assuming that both  $A$  and  $A'$  are quasisyntomic so that the norm maps have been defined. Let  $A \rightarrow S$  be a quasisyntomic cover with  $S$  quasiregular semiperfectoid, and then let  $S^{\widehat{\otimes}_A A'} \rightarrow T$  a quasisyntomic cover with  $T$  quasiregular semiperfectoid; note that the composition  $A' \rightarrow T$  is a quasisyntomic cover. Calculating the norms for  $A \rightarrow B$  and  $A' \rightarrow A' \otimes_A B$  using the covers  $S$  and  $T$  respectively, the desired functoriality follows from totalising over the commutative diagrams which are almost identical to (12), just replacing  $A$  and  $B$  in the bottom row by  $A'$  and  $B' = A' \otimes_A B$ .

We next extend the norm map to finite étale maps  $A \rightarrow B$  between arbitrary  $p$ -complete rings; the idea is to compatibly simplicially resolve  $A$  and  $B$  to reduce to the case already treated. Similarly to the proof of Proposition 1.17 we pick a simplicial resolution  $R_\bullet \rightarrow A$  by ind-smooth  $\mathbb{Z}_p$ -algebras such that the kernel of each surjection  $R_q \rightarrow A$  is a henselian ideal. Then  $R_\bullet/p^s R_\bullet \xrightarrow{\sim} A \otimes_{\mathbb{Z}} \mathbb{Z}/p^s \mathbb{Z}$  for each  $s \geq 1$ , whence taking the derived inverse limit shows that  $\widehat{R}_\bullet \xrightarrow{\sim} A$ ; here  $\widehat{R}_\bullet$  is the simplicial ring obtained by  $p$ -adically completing each  $R_q$  (which represents the derived  $p$ -adic completion of  $R_\bullet$ ), and we recall that  $A$  is derived  $p$ -adically complete (as it is  $p$ -adically complete, which includes separated under our conventions). The kernel of each surjection  $\widehat{R}_q \rightarrow A$  is henselian, as follows from a straightforward series of manipulations which we leave to the reader.

In conclusion, we have constructed a simplicial resolution  $\widehat{R}_\bullet \xrightarrow{\sim} A$  along henselian surjections, where each ring in the resolution is quasisyntomic. The finite étale map  $A \rightarrow B$  lifts uniquely to a finite étale map  $\widehat{R}_q \rightarrow Q_q$  for each  $q \geq 0$ , and these assemble to form a simplicial ring  $Q_\bullet \rightarrow B$ . Observe that each  $Q_q$  is also quasisyntomic over  $\mathbb{Z}_p$ , that  $Q_q \rightarrow B$  is a henselian surjection (as henselian surjections are preserved under base change along integral maps), and that  $Q_\bullet \rightarrow B$  is an equivalence (if  $B$  were a finite free  $A$ -module then  $Q_\bullet$  would be a finite free  $\widehat{R}_\bullet$ -module and this would be clear; the general case is a direct summand of such a free case).

The already constructed norm maps in the quasisyntomic case therefore define a map  $N : \mathbb{Z}_p(j)(\widehat{R}_\bullet) \rightarrow \mathbb{Z}_p(j)(Q_\bullet)$  of simplicial complexes. Since  $\mathbb{Z}_p(j)(-)$  commutes with  $p$ -completed sifted colimits [2, Thm. 5.1(2)], we may then geometrically realise and  $p$ -complete to define  $N_{B/A} : \mathbb{Z}_p(j)(B) \rightarrow \mathbb{Z}_p(j)(A)$ .

The independence of  $N_{B/A}$  on the chosen resolution is proved similarly to the independence on  $S$  in the first part of the proof, as is its functoriality (i); since we do not need these results for Corollary 3.4, we omit the details of the proofs.

Property (ii) reduces via the definitions to the case that  $A$  and  $B$  are quasiregular semiperfectoid, in which case it follows from the analogous property of the  $K$ -theory norm map.  $\square$



**Corollary 3.4.** *Let  $A \rightarrow B$  be a finite étale map of  $p$ -adically complete rings, of constant degree not divisible by  $p$ . Then  $\mathbb{Z}_p(j)(A) \rightarrow \mathbb{Z}_p(j)(B)$  is split injective.*

*Proof.* Letting  $d$  be the degree, the splitting is provided by  $\frac{1}{d}N_{B/A}$  thanks to Lemma 3.3(ii).  $\square$

As an application of the  $p$ -adic Nesterenko–Suslin theorem, we describe the  $p$ -adic Milnor  $K$ -groups locally on smooth formal schemes over the rings of integers of perfectoid fields:

**Theorem 3.5.** *Let  $C$  be a perfectoid field of characteristic 0 containing all  $p$ -power roots of unity, and  $\mathfrak{X}$  a smooth,  $p$ -adic formal  $\mathcal{O}_C$ -scheme; let  $x \in \mathfrak{X}$  and let  $R := \mathcal{O}_{X,x}$  be the corresponding local ring; let  $j \geq 0$ .*

(i) *The Galois symbol*

$$\widehat{K}_j^M(R)/p^r \longrightarrow H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j})$$

*is an isomorphism; if  $R$  has big residue field then the canonical map  $K_j^M(R)/p^r \rightarrow K_j^M(R[\frac{1}{p}])/p^r$  is also an isomorphism.*

(ii) *The  $p$ -adic completion of  $\widehat{K}_j^M(R)$  is  $p$ -power-free.*

*Proof.* We begin by recalling the structure of the ring  $R$ . Picking an affine open neighbourhood  $\text{Spf } S$  of  $x$ , with  $x$  corresponding to the prime ideal  $\mathfrak{q} \subseteq S$ , then  $R = \varinjlim_{f \in S \setminus \mathfrak{q}} \widehat{S[\frac{1}{f}]}$  where the hat denotes  $p$ -adic completion. In particular,  $R$  is a  $p$ -henselian local ring having residue field  $k(\mathfrak{q})$ .

(i) The Galois symbol is an isomorphism thanks to Theorem 3.1 and the known identification  $H^j(\mathbb{Z}/p^r(j)(R)) \cong H_{\text{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j})$  [3, Thm. 10.1]. When  $R$  has big residue field the Galois symbol factors through  $\widehat{K}_j^M(R)/p^r = K_j^M(R)/p^r \rightarrow K_j^M(R[\frac{1}{p}])/p^r$ , so it remains only to prove that this map is surjective: but that follows from the existence of  $u, \pi \in \mathcal{O}_C$ , with  $u$  being a unit, such that  $p = u\pi^{p^r}$ .

(ii) In the first diagram of the proof of Proposition 1.13 the boundary map  $\delta$  is injective since the previous map in the sequence  $H^{j-1}(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \rightarrow H^{j-1}(R[\frac{1}{p}], \mu_p^{\otimes j})$  may be identified (using (i) for  $j-1$  and trivialising the Tate twists) with the surjection  $\widehat{K}_j^M(R)/p^r \rightarrow \widehat{K}_j^M(R)/p$ . Again using (i) (this time for  $j$ ), we deduce that the multiplication map  $p : \widehat{K}_j^M(R)/p^{r-1} \rightarrow \widehat{K}_j^M(R)/p^r$  is injective, from which the  $p$ -torsion-freeness claim follows.  $\square$

**Remark 3.6.** In [22] Izhboldin proves that the Milnor  $K$ -groups of a field of characteristic  $p > 0$  are  $p$ -torsion free. Combined with the Gersten conjecture for Milnor  $K$ -theory in equal characteristic, this implies that for a smooth scheme  $X$  over a field  $k$  of characteristic  $p > 0$  the (improved) Milnor  $K$ -sheaf  $\widehat{\mathcal{K}}_{j,X}^M$  is  $p$ -torsion free. Theorem 3.5(ii) may be considered to be an analogue of this statement in mixed characteristic.

## 4 AN APPLICATION TO THE GERSTEN CONJECTURE

The Gersten conjecture in Milnor  $K$ -theory predicts that, for any regular Noetherian local ring  $R$ , the Gersten complex

$$0 \longrightarrow \widehat{K}_j^M(R) \longrightarrow K_j^M(\text{Frac } R) \longrightarrow \bigoplus_{x \in \text{Spec } R^{(1)}} K_{j-1}^M(k(x)) \longrightarrow \bigoplus_{x \in \text{Spec } R^{(2)}} K_{j-2}^M(k(x)) \longrightarrow \cdots$$

is exact for each  $j \geq 0$ ; here we write  $\text{Spec } R^{(i)}$  for the set of codimension  $i$  points of  $\text{Spec } R$ . If  $R$  contains a field then the Gersten complex is known to be universally exact by Kerz [26, 27], but the mixed characteristic case is open.

#### 4.1 The $p$ -henselian, ind-smooth case

By combining Theorem 1.3(ii) with Kerz' and other existing Gersten results in motivic cohomology, we prove the Gersten conjecture in mod  $p$ -power Milnor  $K$ -theory for  $p$ -henselian, ind-smooth algebras over complete discrete valuation rings:

**Theorem 4.1.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $R$  a  $p$ -henselian, regular, Noetherian, local  $V$ -algebra such that  $R/\mathfrak{m}R$  is ind-smooth over  $V/\mathfrak{m}$ . Then the mod  $p$ -power Gersten conjecture holds for  $R$ , i.e., for any  $r, j \geq 0$ , the complex*

$$0 \longrightarrow \widehat{K}_j^M(R)/p^r \longrightarrow K_j^M(\text{Frac } R)/p^r \longrightarrow \bigoplus_{x \in \text{Spec } R^{(1)}} K_{j-1}^M(k(x))/p^r \longrightarrow \bigoplus_{x \in \text{Spec } R^{(2)}} K_{j-2}^M(k(x))/p^r \longrightarrow \cdots$$

is exact.

*Proof.* As mentioned before the theorem, this will follow from combining our main injectivity theorem with existing results; there are various ways to carry out the argument, among which we propose the following (whose advantage is that it circumvents any new use of Panin's trick [42] to reduce the ind-smooth case to the smooth case). First note that the hypotheses imply that  $V \rightarrow R$  is geometrically regular, therefore ind-smooth by Néron–Popescu; so our earlier results do apply.

Let  $Z = \text{Spec}(R/\mathfrak{m}R)$  and  $X_\eta = \text{Spec}(R[\frac{1}{p}])$  be the special and generic fibres, so that we have Gersten complexes

$$\begin{aligned} g_j(X) &= 0 \longrightarrow K_j^M(\text{Frac } R)/p^r \longrightarrow \bigoplus_{x \in X^{(1)}} K_{j-1}^M(x)/p^r \longrightarrow \cdots \\ g_j(Z) &= 0 \longrightarrow K_j^M(\text{Frac } R)/p^r \longrightarrow \bigoplus_{x \in Z^{(1)}} K_{j-1}^M(x)/p^r \longrightarrow \cdots \\ g_j(X_\eta) &= 0 \longrightarrow K_j^M(\text{Frac } R)/p^r \longrightarrow \bigoplus_{x \in X_\eta^{(1)}} K_{j-1}^M(x)/p^r \longrightarrow \cdots \end{aligned}$$

fitting into a short exact sequence  $0 \rightarrow g_{j-1}(Z)[-1] \rightarrow g_j(X) \rightarrow g_j(X_\eta) \rightarrow 0$ . Thanks to the Gersten conjecture in Milnor  $K$ -theory over fields [27], the canonical map  $\widehat{K}_j^M(R)/p^r \rightarrow g_j(Z)$  is an equivalence and  $g_j(X_\eta)$  calculates the Zariski cohomology of the improved Milnor  $K$ -theory sheaf  $\widehat{\mathcal{K}}_j^M/p^r$  on  $X_\eta$  (which is typically not local).

From the short exact sequence of Gersten complexes we therefore obtain an exact sequence

$$0 \longrightarrow H^0(g_j(X)) \longrightarrow H_{\text{Zar}}^0(X_\eta, \widehat{\mathcal{K}}_j^M/p^r) \longrightarrow \widehat{K}_{j-1}^M(A/\mathfrak{m}A)/p^r \longrightarrow H^1(g_j(X)) \longrightarrow 0 \quad (13)$$

and isomorphisms  $H^n(g_j(X)) \xrightarrow{\cong} H_{\text{Zar}}^n(X_\eta, \widehat{\mathcal{K}}_j^M/p^r)$  for  $n \geq 2$ . But by passage to a filtered colimit over Lemma 4.2(ii) below we know that

$$H_{\text{Zar}}^n(X_\pi, \widehat{\mathcal{K}}_j^M/p^r) = \begin{cases} H_{\text{ét}}^j(X_\eta, \mu_{p^r}^{\otimes j}) & \text{if } n = 0, \\ 0 & \text{if } n > 0. \end{cases}$$

This proves the desired acyclicity in degrees  $\geq 2$  and moreover lets us compare (13) to the short exact sequence of Theorem 1.7, from which we immediately obtain the acyclicity in degree 1 and the desired isomorphism  $\widehat{K}_j^M(R)/p^r \xrightarrow{\cong} H^0(g_j(X))$ .  $\square$

We required the following (presumably well-known) result:

**Lemma 4.2.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic, and  $S$  an essentially smooth, local  $V$ -algebra; let  $r, j \geq 0$ . Then*

- (i)  $H_{\text{Zar}}^n(\text{Spec } S[\frac{1}{p}], R^i \varepsilon_* \mu_{p^r}^{\otimes j}) = 0$  for all  $i \leq j$  and all  $n > 0$ , where  $\varepsilon : \text{Spec } S[\frac{1}{p}]_{\text{ét}} \rightarrow \text{Spec } S[\frac{1}{p}]_{\text{Zar}}$  is the projection map of sites.
- (ii)  $H_{\text{Zar}}^n(\text{Spec } S[\frac{1}{p}], \widehat{\mathcal{K}}_j^M/p^r) = \begin{cases} H_{\text{ét}}^j(\text{Spec } S[\frac{1}{p}], \mu_{p^r}^{\otimes j}) & \text{if } n = 0, \\ 0 & \text{if } n > 0. \end{cases}$

*Proof.* Part (ii) follows from (i) via the change of topology spectral sequence, using the Bloch–Kato isomorphism  $\widehat{\mathcal{K}}_j^M/p^r \xrightarrow{\cong} R^j \varepsilon_* \mu_{p^r}^{\otimes j}$  on  $\mathrm{Spec} S[\frac{1}{p}]$ .

To prove part (i) we use known Gersten results in motivic cohomology. For any essentially finite type scheme  $Y$  over  $V$ , let  $\mathbb{Z}(j)^{\mathrm{mot}}(Y) := z^j(Y, \bullet)[-2j]$  denote its motivic cohomology complex defined via Bloch’s higher Chow groups. Similarly to the previous proof above with Milnor  $K$ -theory, there are associated Gersten complexes for any  $i, j \geq 0$  [16]

$$\begin{aligned} g_i(j)^{\mathrm{mot}}(\mathrm{Spec} S) &= 0 \longrightarrow H^i(\mathbb{Z}(j)^{\mathrm{mot}}(\mathrm{Frac} S)/p^r) \longrightarrow \bigoplus_{x \in \mathrm{Spec} S^{(1)}} H^{i-1}(\mathbb{Z}(j-1)^{\mathrm{mot}}(k(x))/p^r) \longrightarrow \cdots \\ g_i(j)^{\mathrm{mot}}(\mathrm{Spec} S/\mathfrak{m}S) &= 0 \longrightarrow H^i(\mathbb{Z}(j)^{\mathrm{mot}}(\mathrm{Frac}(S/\mathfrak{m}S))/p^r) \longrightarrow \bigoplus_{x \in \mathrm{Spec} S/\mathfrak{m}S^{(1)}} H^{i-1}(\mathbb{Z}(j-1)^{\mathrm{mot}}(k(x))/p^r) \longrightarrow \cdots \\ g_i(j)^{\mathrm{mot}}(\mathrm{Spec} S[\frac{1}{p}]) &= 0 \longrightarrow H^i(\mathbb{Z}(j)^{\mathrm{mot}}(\mathrm{Frac}(S))/p^r) \longrightarrow \bigoplus_{x \in \mathrm{Spec} S[\frac{1}{p}]^{(1)}} H^{i-1}(\mathbb{Z}(j-1)^{\mathrm{mot}}(k(x))/p^r) \longrightarrow \cdots \end{aligned}$$

fitting into short exact sequences

$$0 \rightarrow g_{i-1}(j)^{\mathrm{mot}}(\mathrm{Spec} S/\mathfrak{m}S)[-1] \rightarrow g_i(j)^{\mathrm{mot}}(\mathrm{Spec} S) \rightarrow g_i(j)^{\mathrm{mot}}(\mathrm{Spec} S[\frac{1}{p}]) \rightarrow 0.$$

However, unlike the previous case of Milnor  $K$ -theory, we may now appeal to the fact that the Gersten conjecture in motivic cohomology is known not only for essentially smooth algebras over fields, but also for the essentially smooth  $V$ -algebra  $S$  [16, Corol. 4.5] (at least with mod  $p^r$ -coefficients). So from the corresponding long exact sequence we deduce that  $H_{\mathrm{Zar}}^n(\mathrm{Spec} S[\frac{1}{p}], \mathcal{H}^i(\mathbb{Z}(j)^{\mathrm{mot}}/p^r)) = 0$  for  $n > 0$ , where  $\mathcal{H}^i(\mathbb{Z}(j)^{\mathrm{mot}}/p^r)$  denotes the Zariski sheafification of  $U \mapsto H^i(\mathbb{Z}(j)^{\mathrm{mot}}(U)/p^r)$ . The proof is completed by appealing to the Beilinson–Lichtenbaum isomorphism  $\mathcal{H}^i(\mathbb{Z}(j)^{\mathrm{mot}}/p^r) \simeq R^i \varepsilon_* \mu_{p^r}^{\otimes j}$  on  $\mathrm{Spec} S[\frac{1}{p}]$  when  $i \leq j$ .  $\square$

**Remark 4.3.** In the context of Theorem 4.1, the injectivity at the beginning of the Gersten complex, namely  $\widehat{\mathcal{K}}_j^M(R)/p^r \hookrightarrow K_j^M(\mathrm{Frac} R)/p^r$ , can be deduced more directly. Indeed, letting  $F$  be as in the statement of Theorem 5.8, it is enough to check that the composition

$$\widehat{\mathcal{K}}_j^M(R)/p^r \longrightarrow K_j^M(\mathrm{Frac} R)/p^r \longrightarrow K_j^M(F)/p^r \xrightarrow{h_{p^r}^j} H_{\mathrm{ét}}^j(F, \mu_{p^r}^{\otimes j})$$

is injective. But this composition coincides with

$$\widehat{\mathcal{K}}_j^M(R)/p^r \longrightarrow H_{\mathrm{ét}}^j(R[\frac{1}{p}], \mu_{p^r}^{\otimes j}) \longrightarrow H_{\mathrm{ét}}^j(F, \mu_{p^r}^{\otimes j}),$$

where the first arrow is injective by Theorem 1.7 and the second by Theorem 5.8.

We note that, in particular, we have proved the mod  $p$ -power Gersten conjecture Nisnevich locally on smooth  $V$ -schemes:

**Corollary 4.4.** *Let  $V$  be a complete discrete valuation ring of mixed characteristic and  $X$  a smooth  $V$ -scheme. Then the Gersten sequence of Nisnevich sheaves on  $X$*

$$0 \longrightarrow \widehat{\mathcal{K}}_{j,X}^M/p^r \longrightarrow \bigoplus_{x \in X^{(0)}} i_{x*}(K_j^M(x)/p^r) \longrightarrow \bigoplus_{x \in X^{(1)}} i_{x*}(K_{j-1}^M(x)/p^r) \longrightarrow \cdots$$

(which will be explained further in the course of the proof) is exact, and consequently there is a natural Bloch–Quillen isomorphism

$$CH^j(X)/p^r \cong H_{\mathrm{Nis}}^j(X, \widehat{\mathcal{K}}_{j,X}^M/p^r).$$

*Proof.* For each point  $x \in X$ , let  $K_j^M(x)/p^r$  denote the Nisnevich sheaf on  $\mathrm{Spec} k(x)$  defined by sending each étale  $k(x)$ -algebra  $L$  to  $K_j^M(L)/p^r$ ; recall that this is indeed a Nisnevich sheaf because it is additive [41, 1.2]. Let  $i_x : \mathrm{Spec} k(x)_{\mathrm{Nis}} \rightarrow X_{\mathrm{Nis}}$  denote the canonical map of sites, and  $i_{x*}(K_j^M(x)/p^r)$  the resulting pushforward of this sheaf to  $X_{\mathrm{Nis}}$ .

In other words, for each étale  $f : U \rightarrow X$  we have  $i_{x*}(K_j^M(x)/p^r)(U) = \bigoplus_{y \in f^{-1}(x)} K_j^M(k(y))/p^r$ , and the Nisnevich Gersten complex

$$\bigoplus_{x \in X^{(0)}} i_{x*}(K_j^M(x)/p^r) \longrightarrow \bigoplus_{x \in X^{(1)}} i_{x*}(K_{j-1}^M(x)/p^r) \longrightarrow \cdots$$

is characterised by the fact that its restriction to  $U_{\text{Zar}}$  is the usual Zariski Gersten complex for all such  $U$ . Moreover, as sheaves on the site  $\text{Spec } k(x)_{\text{Nis}}$  have no higher cohomology on any object, the same is true of their pushforwards to  $X_{\text{Nis}}$ ; in particular, each Nisnevich sheaf  $i_{x*}(K_j^M(x)/p^r)$  on  $X$  has no higher cohomology. To prove that the aforementioned Nisnevich Gersten complex is a resolution of  $\widehat{\mathcal{K}}_{j,X}^M/p^r$  (which denotes the Nisnevich sheafification of  $U \mapsto \widehat{K}_j^M(\mathcal{O}_U(U))/p^r$ ), we must check the exactness of the Gersten complexes

$$0 \longrightarrow \widehat{K}_j^M(A)/p^r \longrightarrow \bigoplus_{x \in \text{Spec } A^{(0)}} K_j^M(k(x))/p^r \longrightarrow \bigoplus_{x \in \text{Spec } A^{(1)}} K_{j-1}^M(k(x))/p^r \longrightarrow \cdots$$

where  $A$  runs over the henselian local rings attached to all points of  $X$ . When the point lies in the generic fibre of  $X$ , so that  $A$  contains a field, we appeal to Kerz [27]; when the point lies in the special fibre, so that  $A$  is  $p$ -henselian, we instead appeal to Theorem 4.1.

The Bloch–Quillen formula follows as the Gersten resolution allows us to calculate the cohomology of  $\widehat{\mathcal{K}}_{j,X}^M/p^r$  as

$$H_{\text{Nis}}^j(X, \widehat{\mathcal{K}}_{j,X}^M/p^r) = \text{coker} \left( \bigoplus_{x \in X^{(j-1)}} k(x)^\times/p^r \rightarrow \bigoplus_{x \in X^{(j)}} \mathbb{Z}/p^r \right) = CH^j(X)/p^r \quad \square$$

**Remark 4.5.** With  $V$  and  $X$  as in Corollary 4.4, the result implies by functoriality the existence of a natural restriction map  $CH^j(X)/p^r \rightarrow H_{\text{Nis}}^j(X_s, \widehat{\mathcal{K}}_{j,X_s}^M/p^r)$  for each  $s \geq 1$ , where  $X_s := X \otimes_V V/\mathfrak{m}^s$  is the corresponding thickening of the special fibre. When  $j = d$  is the relative dimension of  $X$  then these restriction maps are surjective by earlier work of the first author [32, 33], answering a question of Kerz–Esnault–Wittenberg [28, Conj. 10.1] in the smooth case.

## 4.2 Gersten for $K_3^M/p^r$ of (incomplete) discrete valuation rings

Using the relative Gersten arguments pioneered by Bloch [5] and Gillet–Levine [19], adapted to Milnor  $K$ -theory by the first author [34], (and Panin’s trick [42] to reduce the ind-smooth case to the essentially smooth case) the Gersten conjecture for ind-smooth algebras over a discrete valuation ring  $V$  mostly reduces to case of the discrete valuation rings obtained by localising smooth  $V$ -algebras at the generic point of their special fibre. We therefore record here a case of the Gersten conjecture for discrete valuation rings which we were surprised not to find in the literature; it is independent from our main results and the style of argument is not new.

We first review some classical results; let  $\mathcal{O}$  be a discrete valuation ring of residue characteristic  $p > 0$ , and denote its fraction field by  $F$  and its residue field by  $k$ . Then the map  $K_2(\mathcal{O}) \rightarrow K_2(F)$  is injective by Dennis–Stein [11], with  $p$ -torsion-free cokernel  $k^\times$ , whence  $K_2(\mathcal{O})/p^r \rightarrow K_2(F)/p^r$  is also injective for any  $r \geq 1$ .

Suppose  $\mathcal{O}$  is equi-characteristic. Then the map  $K_3(\mathcal{O}) \rightarrow K_3(F)$  is injective by Quillen [43], and the cokernel  $K_2(k)$  is  $p$ -torsion-free by Izhboldin [22] (identifying it with  $K_2^M(k)$  by Matsumoto), whence  $K_3(\mathcal{O})/p^r \rightarrow K_3(F)/p^r$  is again injective. Secondly, if  $\mathcal{O}$  has infinite residue field then  $K_3^M(\mathcal{O}) \rightarrow K_3(F)$  was shown to be injective by Suslin–Yarosh [46] (though this is of course superseded by Kerz [26]).

Suppose instead that  $\mathcal{O}$  has mixed characteristic  $(0, p)$ . Then the injectivity of  $K_3(\mathcal{O}) \rightarrow K_3(F)$  remains open, but that of  $K_3(\mathcal{O}; \mathbb{Z}/p^r\mathbb{Z}) \rightarrow K_3(F; \mathbb{Z}/p^r\mathbb{Z})$  is a theorem of Geisser–Levine [17, Thm. 8.2]. If  $p > 2$  then  $\widehat{K}_3(\mathcal{O})/p^r$  injects into  $K_3(\mathcal{O})/p^r \subseteq K_3(\mathcal{O}; \mathbb{Z}/p^r\mathbb{Z})$  [26, Prop. 10(6)] and so we deduce that  $\widehat{K}_3^M(\mathcal{O})/p^r \rightarrow K_3^M(F)/p^r$  is also injective. Here we observe that the argument of Suslin–Yarosh may also be used to prove the latter injectivity, without the hypothesis that  $p > 2$ :

**Proposition 4.6.** *Let  $\mathcal{O}$  be a discrete valuation ring of mixed characteristic, with field of fractions  $F$  and residue field  $k$ . Then there is an exact sequence*

$$0 \longrightarrow \widehat{K}_3^M(\mathcal{O})/p^r \longrightarrow K_3^M(F)/p^r \xrightarrow{\partial} K_2^M(k)/p^r \longrightarrow 0$$

for any  $r \geq 1$ .

*Proof.* The canonical map  $K_2(\mathcal{O}, \pi\mathcal{O}; \mathbb{Z}/p^r\mathbb{Z}) \rightarrow K_2(\mathcal{O}; \mathbb{Z}/p^r\mathbb{Z})$  is injective since  $K_3(k; \mathbb{Z}/p^r\mathbb{Z})$  is generated by symbols (which lift to  $K_3(\mathcal{O}; \mathbb{Z}/p^r\mathbb{Z})$ ) by Geisser–Levine, and this restricts to an injection  $K_2(\mathcal{O}, \pi\mathcal{O})/p^r \rightarrow K_2(\mathcal{O})/p^r$ . From the exact sequence  $K_2(\mathcal{O}, \pi\mathcal{O}) \rightarrow K_2(\mathcal{O}) \rightarrow K_2(k) \rightarrow 0$  (which is exact on the right since  $K_2(k)$  is generated by symbols, which lift) we therefore obtain a short exact sequence

$$0 \longrightarrow K_2(\mathcal{O}, \pi\mathcal{O})/p^r \longrightarrow K_2(\mathcal{O})/p^r \longrightarrow K_2(k)/p^r \longrightarrow 0.$$

Assuming that  $\mathcal{O}$  has infinite residue field, the proof is completed by recalling Suslin–Yarosh’s argument, which is itself a  $K_3^M$ -version of Dennis–Stein’s argument: taking [46, Thms. 3.9 & 4.1] modulo  $p^r$  implies the existence of a cocartesian square

$$\begin{array}{ccc} K_2(\mathcal{O}, \pi\mathcal{O})/p^r & \longrightarrow & K_3^M(\mathcal{O})/p^r \\ \downarrow & & \downarrow \\ K_2^M(\mathcal{O})/p^r & \xrightarrow{\cdot\{\pi\}} & K_3^M(F)/p^r \end{array}$$

which indeed completes the proof when combined with the above exact sequence and the identity  $\widehat{K}_2^M(\mathcal{O}) = K_2(\mathcal{O})$ .

When  $\mathcal{O}$  has finite residue field, the injectivity of  $\widehat{K}_3(\mathcal{O})/p^r \rightarrow K_3(F)/p^r$  then follows from a standard norm trick by picking a tower of finite étale extensions  $\mathcal{O} \subseteq \mathcal{O}_1 \subseteq \mathcal{O}_2 \subseteq \dots$  of discrete valuation rings where each extension has degree prime to  $p$ .  $\square$

**Remark 4.7.** The focus of this subsection is in the incomplete case, but we mention that  $\widehat{K}_j^M(\mathcal{O}) \rightarrow K_j^M(F)$  is known to be injective for all  $j \geq 0$  if  $\mathcal{O}$  is complete and has finite residue field [9].

## 5 $p$ -ADIC MILNOR $K$ -THEORY OF LOCAL $\mathbb{F}_p$ -ALGEBRAS

In this section we present two variants of the Bloch–Kato–Gabber theorem, describing the mod  $p$ -power Milnor  $K$ -theory of certain local  $\mathbb{F}_p$ -algebras. The analogous isomorphisms for algebraic  $K$ -theory are already known by earlier work of the second author and collaborators [7, Thm. 5.27] [25, Thm. 2.1]; the new tool which allows us to treat Milnor  $K$ -theory is the left Kan extension observation of Proposition 1.17.

### 5.1 Cartier smooth $\mathbb{F}_p$ -algebras

We start by recalling the following terminology from [25]: an  $\mathbb{F}_p$ -algebra  $A$  is called *Cartier smooth* if it satisfies the following smoothness criteria:

- $\Omega_A^1$  is a flat  $A$ -module;
- $H_j(\mathbb{L}_{A/\mathbb{F}_p}) = 0$  for all  $j > 0$ ;
- the inverse Cartier map  $C^{-1} : \Omega_A^j \rightarrow H^j(\Omega_A^\bullet)$  is an isomorphism for all  $j \geq 0$ .

Let  $\text{CSm}_{\mathbb{F}_p}$  denote the category of Cartier smooth  $\mathbb{F}_p$ -algebras, which includes the category of smooth  $\mathbb{F}_p$ -algebras  $\text{Sm}_{\mathbb{F}_p}$ ; to simplify notation in the following proof, let  $\text{Sm}_{\mathbb{F}_p}^\Sigma$  be the subcategory of finitely generated polynomial  $\mathbb{F}_p$ -algebras.

As a more interesting example, results of Gabber–Ramero [15, Thm. 6.5.8(ii) & Corol. 6.5.21] and Gabber [29, App.] state that any valuation ring of characteristic  $p$  is Cartier smooth.

The following description of the étale-syntomic cohomology of Cartier smooth algebras was implicit in [25]:

**Proposition 5.1.** *Let  $r, j \geq 0$ .*

- (i) *The functor  $W_r\Omega_{\log}^j : \text{CSm}_{\mathbb{F}_p} \rightarrow D(\mathbb{Z})$  is left Kan extended from  $\text{Sm}_{\mathbb{F}_p}$ .*

(ii) For any  $A \in \text{CSm}_{\mathbb{F}_p}$ , there are natural equivalences

$$\mathbb{Z}/p^r \mathbb{Z}(j)(A)[j] \simeq R\Gamma_{\text{ét}}(\text{Spec } A, W_r \Omega_{\log}^j) \simeq [W_r \Omega_A^j \xrightarrow{\overline{F}-1} W_r \Omega_A^j / dV^{r-1} \Omega_A^{j-1}].$$

*Proof.* The second equivalence in (ii) actually holds for any  $\mathbb{F}_p$ -algebra, since on any  $\mathbb{F}_p$ -scheme  $X$  there is a short exact sequence of étale sheaves  $0 \rightarrow W_r \Omega_{X, \log}^j \rightarrow W_r \Omega_X^j \xrightarrow{\overline{F}-1} W_r \Omega_X^j / dV^{r-1} \Omega_X^{j-1} \rightarrow 0$  where the middle and final term have no higher cohomology on affines [37, Corol. 4.1(ii) & 4.2(iii)].

For any  $A \in \text{CSm}_{\mathbb{F}_p}$ , there is a natural short exact sequence  $0 \rightarrow W_{r-1} \Omega_{A, \log}^j \xrightarrow{p} W_r \Omega_{A, \log}^j \rightarrow \Omega_{A, \log}^j \rightarrow 0$  [25, Thm. 2.11], whence part (i) reduces to the case  $r = 1$ . Similarly, since this short exact sequence equally holds for any étale  $A$ -algebra (since it is also Cartier smooth), there is an induced short exact sequence of sheaves on the étale site of  $A$  and a corresponding fibre sequence of cohomology

$$R\Gamma_{\text{ét}}(\text{Spec } A, W_{r-1} \Omega_{\log}^j) \xrightarrow{p} R\Gamma_{\text{ét}}(\text{Spec } A, W_r \Omega_{\log}^j) \rightarrow R\Gamma_{\text{ét}}(\text{Spec } A, \Omega_{\log}^j).$$

So the claim “ $R\Gamma_{\text{ét}}(\text{Spec } -, W_r \Omega_{\log}^j) : \text{CSm}_{\mathbb{F}_p} \rightarrow D(\mathbb{Z})$  is left Kan extended from  $\text{Sm}_{\mathbb{F}_p}^{\Sigma}$ ” also reduces to the case  $r = 1$ . But this claim would imply the first equivalence in (ii): it is even equivalent to it as the first equivalence in (ii) is already known for all finitely generated polynomial  $\mathbb{F}_p$ -algebras (even all smooth  $\mathbb{F}_p$ -algebras) [3, Corol. 8.19] and  $\mathbb{Z}/p^r \mathbb{Z}(j)(-)$  is left Kan extended from  $\text{Sm}_{\mathbb{F}_p}^{\Sigma}$  (either by the formula  $\mathbb{Z}/p^j(j)(-) = \text{hofib}(\frac{\varphi}{p^j} - 1 : \mathcal{N}^{\geq j} LW\Omega \rightarrow LW\Omega_A)/p^r$  of [3], or just by quoting [2, Thm. 5.1(ii)]).

We have reduced (i) and (ii) to proving that on the category  $\text{CSm}_{\mathbb{F}_p}$ , the functors  $\Omega_{\log}^j$  and  $R\Gamma_{\text{ét}}(\text{Spec } -, \Omega_{\log}^j)$  are left Kan extended from  $\text{Sm}_{\mathbb{F}_p}$  and from  $\text{Sm}_{\mathbb{F}_p}^{\Sigma}$  respectively.

But it was shown in [25] that both  $\Omega^j$  and  $d\Omega^{j-1}$ , on the category  $\text{CSm}_{\mathbb{F}_p}$ , are left Kan extended from  $\text{Sm}_{\mathbb{F}_p}^{\Sigma}$ , so the same is true of  $R\Gamma_{\text{ét}}(\text{Spec } -, \Omega_{\log}^j)$  using the second equivalence of part (ii). This equivalence also shows that there is a natural fibre sequence  $\Omega_{\log}^j \rightarrow R\Gamma_{\text{ét}}(\text{Spec } A, \Omega_{\log}^j)[j] \rightarrow \tilde{\nu}(j)(A)[-1]$  for all  $A \in \text{CSm}_{\mathbb{F}_p}$ ; the final term is rigid [7, Prop. 4.30] hence left Kan extended from  $\text{Sm}_{\mathbb{F}_p}$ , and so we deduce the same for the first term.  $\square$

This allows us to complement the main theorem of [25], namely  $K_j(A; \mathbb{Z}/p^r \mathbb{Z}) \cong W_r \Omega_{A, \log}^j$ , by also describing Milnor  $K$ -theory:

**Theorem 5.2** (Bloch–Kato–Gabber theorem for Cartier smooth algebras). *For any local, Cartier smooth  $\mathbb{F}_p$ -algebra  $A$ , the symbol map  $\widehat{K}_j^M(A)/p^r \rightarrow W_r \Omega_{A, \log}^j$  is an isomorphism for any  $r, j \geq 0$ .*

*Proof.* Although this follows from Theorem 3.1 and Proposition 5.1(ii), we prefer to give a proof which avoids the passage through mixed characteristic.

The symbol map is surjective by Remark 1.6 so it remains to treat its injectivity, for which we may assume  $A$  has big residue field (otherwise take a finite étale extension  $A' \supseteq A$  of degree coprime to  $p$ , with  $A'$  also local, so that  $\widehat{K}_j^M(A)/p^r \rightarrow K_j^M(A')/p^r$  is injective by existence of the norm map). We view  $K_j^M(-)/p^r \rightarrow W_r \Omega_{\log}^j$  as a map of functors on  $\text{SCm}_{\mathbb{F}_p}^{\text{loc}}$ . It is an isomorphism on any essentially smooth, local  $\mathbb{F}_p$ -algebra with big residue field by the Bloch–Kato–Gabber theorem (see Remark 1.6 again); by left Kan extending using Propositions 1.17 and 5.1 we obtain the desired isomorphism for  $A$ . Note here that, in the diagram of essentially smooth, local  $\mathbb{F}_p$ -algebras mapping to  $A$ , those with big residue field form a cofinal system (alternatively, computing the left Kan extension by the type of simplicial resolution which appeared in Proposition 1.17, just note that each  $R_q$  has the same big residue field as  $A$ ).  $\square$

## 5.2 A pro Bloch–Kato–Gabber theorem

We prove a version of the Bloch–Kato–Gabber theorem for pro rings, and an associated continuity theorem for Milnor  $K$ -theory. The initial two lemmas are very much in the style of [37], to which we refer for further background on arguments with pro abelian groups (which we denote by curly brackets  $\{A_s\}_s$ , rather than “ $\varprojlim_s A_s$ ”) and the role of F-finiteness.

**Lemma 5.3.** *Let  $A$  be an  $F$ -finite, regular, Noetherian, local  $\mathbb{F}_p$ -algebra, and  $I \subseteq A$  an ideal. Then there is a natural short exact sequence of pro abelian groups*

$$0 \rightarrow \{W_{r-1}\Omega_{A/I^s, \log}^j\}_s \xrightarrow{p} \{W_r\Omega_{A/I^s, \log}^j\}_s \rightarrow \{\Omega_{A/I^s, \log}^j\}_s \rightarrow 0$$

for each  $j \geq 0$ .

*Proof.* It was shown in [37, Corol. 4.8] that the diagonally indexed pro abelian group  $\{W_s\Omega_{A/I^s, \log}^j\}_s$  is  $p$ -torsion-free and the canonical map  $\{W_s\Omega_{A/I^s, \log}^j/p^r\}_s \rightarrow \{W_r\Omega_{A/I^s, \log}^j\}_s$  is an isomorphism. So the desired short exact sequence is  $0 \rightarrow \{W_s\Omega_{A/I^s, \log}^j/p^{r-1}\}_s \xrightarrow{p} \{W_s\Omega_{A/I^s, \log}^j/p^r\}_s \rightarrow \{W_s\Omega_{A/I^s, \log}^j/p\}_s \rightarrow 0$ .  $\square$

For a functor  $F : \mathbb{F}_p\text{-algs} \rightarrow \text{Ab}$ , let  $\mathbb{L}F : \mathbb{F}_p\text{-algs} \rightarrow D^{\leq 0}(\mathbb{Z})$  denote the left Kan extension from  $\text{Sm}_{\mathbb{F}_p}^{\Sigma}$  of the restriction of  $F$ . For the application to Theorem 5.5, note that for each of the three functors in the lemma it would be equivalent to left Kan extend from  $\text{Sm}_{\mathbb{F}_p}$  (as follows from the Cartier isomorphism, or alternatively apply the following lemma with  $I = 0$ ).

**Lemma 5.4.** *Let  $A$  be a  $F$ -finite, regular, Noetherian  $\mathbb{F}_p$ -algebra and  $I \subseteq A$  an ideal. Then the canonical maps of complexes*

$$\mathbb{L}\Omega_{A/I^s}^j \longrightarrow \Omega_{A/I^s}^j \quad \mathbb{L}B\Omega_{A/I^s}^j \longrightarrow B\Omega_{A/I^s}^j \quad \mathbb{L}Z\Omega_{A/I^s}^j \longrightarrow Z\Omega_{A/I^s}^j$$

become equivalences of pro complexes after applying  $\{\}_s$ .

*Proof.* By “equivalences of pro complexes” we simply mean in each case that  $\{H_n(-)\}_s = 0$  for  $n > 0$ , and that the pro abelian group  $\{H_0(-)\}_s$  identifies with target pro abelian group.

Since  $H^0(\mathbb{L}\Omega_{A/I^s}^j) = \Omega_{A/I^s}^j$  for each  $s$ , in the first case it remains to prove that  $\{H_n(\mathbb{L}\Omega_{A/I^s}^j)\}_s = 0$  for  $n > 0$ . From the transitivity sequence for  $\mathbb{F}_p \rightarrow A \rightarrow A/I^s$  one knows that  $\mathbb{L}\Omega_{A/I^s}^j$  has a natural filtration with graded pieces  $\mathbb{L}\Omega_A^i \otimes_A \mathbb{L}\Omega_{(A/I^s)/A}^{j-i}$  for  $i = 1, \dots, j$ ; but a classical argument of M. André [1, Prop. X.12] (see also [36, Thm. 4.4(i)]) shows that  $\{H_n(\mathbb{L}\Omega_{(A/I^s)/A}^{j-i})\}_s = 0$  unless  $n = j - i = 0$ . Since also  $\mathbb{L}\Omega_A^i \simeq \Omega_A^i$  as  $A$  is geometrically regular over  $\mathbb{F}_p$ , the spectral sequence associated to the filtration (i.e., the spectral sequence of Kassel–Sletsjøe [24]) now yields the desired vanishing.

The pro equivalences for  $B\Omega^j$  and  $Z\Omega^j$  now follow by the usual increasing induction on  $j$ , just as in the Cartier smooth case (see the first three paragraphs of the proof of [25, Prop. 2.5]), since the inverse Cartier map  $C^{-1} : \{\Omega_{A/I^s}^j\}_s \rightarrow \{H^j(\Omega_{A/I^s}^\bullet)\}_s$  is known to be an isomorphism of pro abelian groups for all  $j \geq 0$  [37, Thm. 2.2].  $\square$

We may now present the main theorem of the subsection, describing the pro system of Milnor  $K$ -groups of the successive quotients of a regular, local  $\mathbb{F}_p$ -algebra:

**Theorem 5.5.** *Let  $A$  be an  $F$ -finite, regular, Noetherian, local  $\mathbb{F}_p$ -algebra, and  $I \subseteq A$  any ideal. Then the symbol map induces an isomorphism of pro abelian groups  $\{\widehat{K}_j^M(A/I^s)/p^r\}_s \xrightarrow{\sim} \{W_r\Omega_{A/I^s, \log}^j\}_s$  for any  $r, j \geq 0$ .*

*Proof.* Morally one would like to say that this theorem is a special case of Theorem 5.2, as the results of [37, §2] implicitly show that the pro ring  $\{A/I^s\}_s$  satisfies a pro analogue of the definition of Cartier smoothness. But we provide the details of the proof.

The symbol map is automatically surjective (even for each fixed level  $s$ ), and for injectivity we perform the same trick as in Theorem 5.2 to henceforth assume that  $A$  has big residue field. For any essentially smooth, local  $\mathbb{F}_p$ -algebra  $R$  with big residue field, the symbol map  $K_j^M(R)/p^r \rightarrow W_r\Omega_{R, \log}^j$  is an isomorphism as recalled in Remark 1.6. Left Kan extending from such  $R$  and appealing to Proposition 1.17, the theorem reduces to checking that the co-unit map  $H^0((L^{\text{sm}}W_r\Omega_{\log}^j)(A/I^s)) \rightarrow W_r\Omega_{A/I^s, \log}^j$  induces an isomorphism of pro abelian groups over  $s$ .

This in turn reduces to the case  $r = 1$  by comparing the fibre sequences

$$(L^{\text{sm}}W_{r-1}\Omega_{\log}^j)(A/I^s) \xrightarrow{p} (L^{\text{sm}}W_r\Omega_{\log}^j)(A/I^s) \rightarrow (L^{\text{sm}}\Omega_{\log}^j)(A/I^s)$$

(induced by Illusie’s short exact sequence  $0 \rightarrow W_{r-1}\Omega_{R,\log}^j \xrightarrow{p} W_r\Omega_{R,\log}^j \rightarrow \Omega_{R,\log}^j \rightarrow 0$  for each essentially smooth, local  $\mathbb{F}_p$ -algebra [21, §I.5.7]) to the short exact sequence of Lemma 5.3. We then consider the fibre sequence  $\Omega_{-, \log}^j \rightarrow [\Omega_-^j \xrightarrow{C^{-1}-1} \Omega_-^j/d\Omega_-^{j-1}] \rightarrow \tilde{\nu}(j)(-)[-1]$  on arbitrary  $\mathbb{F}_p$ -algebras: left Kan extending it from essentially smooth, local  $\mathbb{F}_p$ -algebras, evaluating on  $A/I^s$ , comparing to the original sequence for  $A/I^s$  itself, and using that  $\tilde{\nu}(j)(-)$  is left Kan extended from smooth algebras, the problem is reduced to checking that the co-unit maps  $L^{\text{sm}}\Omega_{A/I^s}^j \rightarrow \Omega_{A/I^s}^j[0]$  and  $L^{\text{sm}}B\Omega_{A/I^s}^j \rightarrow B\Omega_{A/I^s}^j[0]$  induce equivalences of pro complexes. But this is exactly what we checked in Lemma 5.4.  $\square$

**Corollary 5.6.** *Let  $A$  be an  $F$ -finite, regular, Noetherian, local  $\mathbb{F}_p$ -algebra, and  $I \subseteq A$  an ideal such that  $A$  is  $I$ -adically complete. Then the canonical map  $K_j^M(A)/p^r \rightarrow \varprojlim_s K_j^M(A/I^s)/p^r$  is an isomorphism for any  $r \geq 1$ .*

*Proof.* In light of the classical Bloch–Kato–Gabber theorem and Theorem 5.5, it is equivalent to prove that the map  $W_r\Omega_{A,\log}^j \rightarrow \varprojlim_s W_r\Omega_{A/I^s,\log}^j$  is an isomorphism. This was proved in [37, Corol. 4.11].  $\square$

**Remark 5.7.** Note that, combined with [7, Thm. 5.27], Theorem 5.5 shows that the canonical map  $\{\widehat{K}_j^M(A/I^s)/p^r\}_s \xrightarrow{\cong} \{K_j(A/I^s)/p^r\}_s$  is an isomorphism.

Moreover, just as explained in [37, Rem. 5.8], the implicit bounds appearing in the isomorphisms of pro systems are uniform when localising (or more generally passing to étale algebras). Therefore, for any  $F$ -finite, regular, Noetherian  $\mathbb{F}_p$ -scheme  $X$ , and closed subscheme  $Y \hookrightarrow X$ , the canonical maps of (Zariski, Nisnevich, or étale) sheaves on  $Y$

$$\mathcal{K}_{j,Y_s}/p^r \longleftarrow \widehat{\mathcal{K}}_{j,Y_s}^M/p^s \xrightarrow{\text{dlog}} W_r\Omega_{Y_s,\log}^j$$

becomes an isomorphism of pro sheaves after applying  $\{\}_s$ .

## APPENDIX: A GERSTEN INJECTIVITY RESULT OF GABBER

The following injectivity result of Gabber was required in the proof of Theorem 2.17:

**Theorem 5.8** (Gabber). *Let  $V$  be a mixed characteristic discrete valuation ring and  $R$  a local, ind-smooth  $p$ -henselian  $V$ -algebra; let  $R_{\mathfrak{p}R}^h$  be the henselisation of the discrete valuation ring  $R_{\mathfrak{p}R}$ , and  $F := R_{\mathfrak{p}R}^h[\frac{1}{p}]$  its field of fractions. Let  $\mathcal{F}$  be a torsion étale sheaf on  $\text{Spec } R[\frac{1}{p}]$  which is pulled back from  $\text{Spec } V[\frac{1}{p}]$ . Then the canonical map*

$$H_{\text{ét}}^n(R[\frac{1}{p}], \mathcal{F}) \longrightarrow H_{\text{ét}}^n(F, \mathcal{F})$$

is injective for all  $n \geq 0$ .

*Proof.* Since both sides commute with filtered colimits in  $R$ , we may assume that  $R$  is the henselisation along  $\mathfrak{p}S_{\mathfrak{q}}$  of  $S_{\mathfrak{q}}$ , where  $S$  is a smooth  $V$ -algebra and  $\mathfrak{q} \subseteq S$  is some prime ideal containing  $\mathfrak{p}S$ ; note that then  $R_{\mathfrak{p}R}^h = S_{\mathfrak{p}S}^h$ , where the latter denotes the henselisation of the discrete valuation ring  $S_{\mathfrak{p}S}$ .

The beginning of Gabber’s Gersten resolution [14, Eqn. (\*)], at the point  $\mathfrak{q}$  of  $\text{Spec } S$  (Gabber’s scheme  $M$ ), asserts that the map

$$H_{\text{ét}}^n(\text{Spec } S_{\mathfrak{q}}/\mathfrak{p}S_{\mathfrak{q}}, i^*Rj_*\mathcal{F}) \longrightarrow H_{\text{ét}}^n(\text{Spec } S_{\mathfrak{p}A}/\mathfrak{p}S_{\mathfrak{p}A}, i^*Rj_*\mathcal{F})$$

is injective, where  $i, j$  are the usual closed and open inclusions  $\text{Spec } S/\mathfrak{p}S \xrightarrow{i} \text{Spec } S \xleftarrow{j} \text{Spec } S[\frac{1}{p}]$ , and we suppress the additional pullbacks along  $\text{Spec } S_{\mathfrak{p}R}/\mathfrak{p}S_{\mathfrak{p}S} \rightarrow \text{Spec } S_{\mathfrak{q}}/\mathfrak{p}S_{\mathfrak{q}} \rightarrow \text{Spec } S/\mathfrak{p}S$  from the notation.

Noting that  $R/\mathfrak{p}R = S_{\mathfrak{q}}/\mathfrak{p}S_{\mathfrak{q}}$ , Gabber’s affine analogue of the proper base change theorem  $\square$  implies that the canonical map

$$H_{\text{ét}}^n(\text{Spec } R[\frac{1}{p}], \mathcal{F}) = H_{\text{ét}}^n(\text{Spec } R, Rj_*\mathcal{F}) \longrightarrow H_{\text{ét}}^n(\text{Spec } S_{\mathfrak{q}}/\mathfrak{p}S_{\mathfrak{q}}, i^*Rj_*\mathcal{F})$$

is an isomorphism. The analogous assertion is equally true for the henselian surjection  $R_{\mathfrak{p}R}^h \rightarrow R_{\mathfrak{p}A}/\mathfrak{p}R_{\mathfrak{p}A} = S_{\mathfrak{p}A}/\mathfrak{p}S_{\mathfrak{p}A}$ , thereby completing the proof.  $\square$



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